Carnegie Corporation of New York

CONTACT
Dorothy Knapp, Secretary
Carnegie Corporation of New York
437 Madison Avenue
New York, New York 10022
(212) 371-3200

FINANCIAL SUMMARY
Recent Giving: $44,375,765 (fiscal 1989); $40,561,550 (fiscal 1988); $39,516,588 (fiscal 1987)
Assets: $911,300,000 (fiscal 1990 est.); $905,106,312 (fiscal year ending September 30, 1989); $803,404,682 (fiscal 1988); $807,000,000 (fiscal 1987 approx.)

CONTRIBUTIONS SUMMARY
Type of Foundation: general purpose
Major Priority: national and international organizations promoting global peace, education, international economic development, and children's health and welfare
Geographic Distribution: national, many British Commonwealth nations, and Mexico
Grant Types: conference/seminar, department, general support, project, research, and seed money

DONOR INFORMATION
Andrew Carnegie was born in Scotland in 1835. He moved to the United States 13 years later, beginning work as a bobbin boy in a cotton mill. After holding various jobs with Western Union and the Pennsylvania Railroad, Carnegie resigned in 1865 to establish the Carnegie Steel Company. At the turn of the century, he sold his major Pittsburgh steel company to J. P. Morgan for $400 million.

Carnegie was one of the first wealthy Americans to believe that the well-to-do had a moral responsibility to assist the less fortunate. Carnegie's personal philanthropy began in his thirties with a large gift to his hometown of Dunfermline, Scotland. One of his earliest interests was the establishment of free public libraries throughout the United States, a cause to which he donated over $56 million. Other interests included adult education and education in the fine arts. Over the years, Carnegie established seven philanthropic and educational organizations in the United States, and several more in Europe to carry out these and other programs. In the United States, he established the Carnegie Foundation for the Advancement of Teaching, the Carnegie Institute (Pittsburgh), the Carnegie-Mellon University (formed by the 1967 merger of the Mellon Institute and the Carnegie Institute of Technology), the Carnegie Endowment for International Peace, and the Carnegie Institution of Washington. Overseas, Mr. Carnegie established the Carnegie Trust for the Universities of Scotland to assist students and fund expansion and research, and the Carnegie Dunfermline and United Kingdom Trusts to benefit the public primarily through improved education. Mr. Carnegie also established hero funds in the United States, the United Kingdom, and Europe to recognize heroic acts performed in peaceful occupations. In all, Mr. Carnegie's gifts and bequests totaled over $350 million.

FOUNDATION PHILOSOPHY
The corporation's charter states that funds are to be used to promote "the advancement and diffusion of knowledge and understanding." While grants are generally educational in nature, they are not limited to the formal educational system or its institutions. It is the corporation's policy to select a few broad subject areas on which to focus its financial resources over a period of several years. There are four such areas at the present time: education, including science, technology, and the economy; healthy child development, including the prevention of damage to children; strengthening human resources in developing countries; and avoiding nuclear war. Grants which do not fall into one of these four categories may be made under the corporation's special project grants. These grants support "projects identified with previous grant programs, explorations of new ideas that do not fit current programs, special undertakings related to but broader than specific program priorities, and projects that promote the foundation's long-standing interest in strengthening the nonprofit sector."

The first program, education, is based on the Carnegie Corporation’s long-term interest in education. The goal is to help everyone, especially the young, deal with contemporary scientific and technological advances. Emphasis is placed on the improvement of primary and secondary education in science, mathematics, and technology and on the access of minority group members and women to quality education in these subjects. The corporation is also interested in the impact of science and technology, on the economy and the ways in which educational policy can help society prepare for change.

The corporation's second area of interest, healthy child development, examines academic failure, teenage pregnancy, childhood injury, and substance abuse. Only in the areas of academic failure and teenage pregnancy did the corporation fund unsolicited proposals. The study of academic failure includes efforts to develop preschool education, family support systems, and higher quality minority education. In the area of teen pregnancy, the corporation concentrates on preventing initial pregnancy among teenage girls.

The third program, strengthening human resources in developing countries, applies a multi-disciplinary approach to the analysis of development. It relies on the behavioral, biological, medical, and social sciences. Regional emphasis is on Mexico, the English-speaking Caribbean, and on those African nations that were or are part of the British Commonwealth. Priorities include projects which develop indigenous abilities, reduce levels of maternal mortality and morbidity, and stress cooperation between policy-makers and scientists in developing and developed nations. A major concern in this area is to promote understanding within the United States, both among the general public and at the policy-making level, of the development experience. A special sub-program focuses on South Africa and on programs to improve the status of black South Africans.

The fourth program, avoiding nuclear war, emphasizes interdisciplinary research, science-based scholarship, and the communication of results to the American public. The first phase of this program included several large grants for a multi-disciplinary approach to the study of security and arms control. The corporation does not anticipate making many more grants of this type. The current program supports research and analysis on selected complex problems bearing on the avoidance of nuclear war by experts independent of government. It also supports initiatives to consolidate opportunities for institutionalizing U.S.-Soviet and multinational cooperation and conflict resolution.

CONTRIBUTIONS ANALYSIS
In fiscal 1989, the Carnegie Corporation's grant allocations by program area were: Education: Science, Technology, and the Economy, 27%; Toward Healthy Child Development: Preventing Damage to Children, 24%; Avoiding Nuclear War, 23%; Strengthening Human Resources in Developing Countries, 16%; and Special Projects, 10%. Broken down by recipient type, civic and public affairs concerns received 53% of the corporation's support. Educational organizations and projects received 31% of the corporation's giving, while international concerns and the arts received 11% and 5%, respectively.

TYPICAL RECIPIENTS
Arts & Humanities: arts associations and public broadcasting

© 1991 The Taft Group
Civic & Public Affairs: economic development, environmental affairs, ethnic/minority organizations, international affairs, law & justice, national security, nonprofit management, philanthropic organizations, professional & trade associations, public policy, urban & community affairs, and women's affairs.

Education: colleges & universities, education associations, health & physical education, international studies, legal education, literacy, medical education, minority education, preschool education, public education (precollage), and science/technology education.

Health: health organizations, hospitals, medical research, nutrition & health maintenance, and public health.

International: foreign educational institutions, international development, relief, international health care, and international organizations.

Science: scientific organizations.

Social Services: child welfare, community service organizations, family planning, family services, legal aid, and youth organizations.

OFFICERS AND DIRECTORS


APPLICATION AND REVIEW PROCEDURES
Initial Contact: The corporation does not have application forms. Initial contact should be by letter.
Include Information On: The initial proposal should include a statement describing the project's aims, methods, personnel, and the amount of financial support required. Corporation officers will request supplementary information or a personal discussion when necessary.
The endorsement of the administrative head of the requesting institution need not be sent with the initial proposal, but it will be required before a favorable recommendation is made to the corporation's trustees.
Deadlines: There is no deadline for proposals.
Review Process: Corporation officers consider each project and forward recommendations to the corporation trustees who must approve all grants.
Note: The foundation does not fund basic operating expenses, endowments, or facilities of educational and human service institutions. It does not provide scholarship, fellowship, or travel grants.
Foundation Publications: annual report; general information pamphlet; list of grants and appropriations; "Carnegie Quarterly"

GRANTS ANALYSIS
Total Grants: $44,375,765
Number of Grants: 409
Average Grant: $108,498
Highest Grant: $1,053,365
Typical Range: $25,000 to $300,000
Disclosure Period: fiscal year ending September 30, 1989

RECENT GRANTS
Arts & Humanities
250,000 Educational Broadcasting Corporation, New York, NY — development of a television series on U.S.-Soviet relations

250,000 Scientists' Institute for Public Information, New York, NY — science videotape referral service for television journalists

200,000 WGBH Educational Foundation, Boston, MA — educational television series and course on Latin America and the Caribbean

Civic & Public Affairs
1,053,365 Carnegie Commission on Science, Technology, and Government, New York, NY
500,000 Columbia University, New York, NY — research and training on Soviet international security and arms control issues
500,000 Columbia University, New York, NY — national resource center for children in poverty
487,500 Stanford University, CA — research on procedures for assessing school teachers
452,690 Stanford University, CA — research and training in international security and arms control
300,000 Aspen Institute for Humanistic Studies, Queenstown, MD — meetings on U.S. relations with southern Africa for American lawmakers
300,000 Brookings Institution, Washington, DC — research on international security issues as affected by U.S.-Soviet relations
300,000 Fund for Peace, New York, NY — national security archive
277,500 NAACP Legal Defense and Educational Fund, New York, NY — education litigation program
250,000 Mexican American Legal Defense and Educational Fund, Los Angeles, CA — program of education litigation and advocacy
225,000 Emory University, Atlanta, GA — international negotiation network at the Carter Presidential Center
225,000 National Academy of Sciences, Washington, DC — committee on the contributions of behavioral and social science to the prevention of nuclear war
207,500 American Red Cross, Washington, DC — technical assistance for Red Cross societies in sub-Saharan Africa and the Caribbean

Education
1,000,000 National Board for Professional Teaching Standards, Detroit, MI
700,000 American Association for the Advancement of Science, Washington, DC — national project to reformulate the content of elementary and secondary education in science, mathematics, and technology
395,300 Massachusetts Institute of Technology, Cambridge, MA — national program to increase the education of minorities
350,000 University of California San Diego, La Jolla, CA — U.S.-Soviet project on the educational use of computers in the elementary school grades
350,000 Consortium for the Advancement of Private Higher Education, Washington, DC — general support
342,220 Columbia University, New York, NY — development of model comprehensive health and educational services in junior high schools
308,500 American Association for the Advancement of Science, Washington, DC — project to improve science and mathematics education for minority, female, and disabled youth
275,000 Editorial Projects in Education, Washington, DC — marketing plan to increase readership by public school teachers of Education Week
260,000 University of California, Berkeley, CA — great explorations in math and science program
248,000 Avance-San Antonio, TX — evaluation of the parent education program

International
285,000 Fundacion Mexicana para la Salud, Avenida Contraria, Mexico — research in maternal and child health and strengthening of health resources in Mexico
230,000 World Health Organization, Geneva, Switzerland — program to reduce the health risk of childbearing in sub-Saharan Africa and the Caribbean

© 1991 The Taft Group
Carolyn Foundation

CONTACT
Carol J. Fetzer
Executive Director
Carolyn Foundation
1300 TCF Tower
Minneapolis, Minnesota 55402
(612) 339-7101

FINANCIAL SUMMARY

CONTRIBUTIONS SUMMARY
Type of Foundation: general purpose
Major Priority: program grants to regional social service and civic organizations, and to educational institutions
Geographic Distribution: metropolitan New Haven, CT; and Minneapolis and St. Paul, MN
Grant Types: capital, general support, operating expenses, and research

DONOR INFORMATION
The Carolyn Foundation was established in Minnesota in 1964 under the terms of the will of Carolyn McKnight Christian, who died that year. She was the daughter of real estate entrepreneur and lumberman, Sumner T. McKnight.

FOUNDATION PHILOSOPHY
The Carolyn Foundation is interested principally in health and welfare, education, culture, the environment, and programs for the disadvantaged. It is a regional foundation and limits funding to Minneapolis, St. Paul, and New Haven, and to some national organizations with programs affecting these areas.

CONTRIBUTIONS ANALYSIS
In 1988, the foundation gave 34% of its funding to social services and 31% to civic and public affairs organizations. Educational institutions and associations received 26%; the arts, 7%; and health and religion, 1% each.

TYPICAL RECIPIENTS
Arts & Humanities: arts funds, community arts, history/historic preservation, museums/galleries, public broadcasting, and theater
Civic & Public Affairs: environmental affairs, law & justice, nonprofit management, philanthropic organizations, public policy, rural affairs, and women's affairs

Education: education associations, literacy, and public education (precollege)
Health: geriatric health and health organizations
Religion: religious organizations
Social Services: aged, child welfare, community service organizations, counseling, domestic violence, drugs & alcohol, family services, religious welfare, and youth organizations

OFFICERS AND DIRECTORS
Lucy C. Mitchell: cmn, trust
Carol J. Fetzer: exec dir, secy, trust
Edwin L. Crosby: treas, trust
Beatrice Crosby Booth: trust
Franklin M. Crosby, III: trust
G. Christian Crosby: trust
Sumner McKnight Crosby, Jr.: trust
Thomas M. Crosby, Jr.: trust; B Minneapolis MN 1938 ED Yale Univ BA 1960, JD 1965 CURR EMPL atty: Faegre & Benson NONPR AFFIL mem: Am Bar Assn
Carolyn C. Graham: trust
Eugenie T. Copp: trust
Benton J. Case, Jr.: trust

APPLICATION AND REVIEW PROCEDURES
Initial Contact: There is no application form; written proposals should be sent to the foundation.
Include Information On: Applicants must provide the name and address of the contact person; description of the organization; list of officers, directors, and executive staff; IRS number and copy of determination letter; state registration certificate; amount requested; timetable; and purpose, objective, and goals of project. Applicants also should include a history of the project; operational plan; future plans; licensing requirements; evaluation methods; reason foundation is being approached for funding; detailed budget; income from prior three years; provisions for financial support of project in future; audited financial report; and an annual report.
Deadlines: The deadline for major contribution funding ($10,000 or larger) is July 1. Requests for minor contributions should be submitted by March 1.
Note: The foundation does not fund individuals, religious organizations for religious purposes, political or veterans organizations, annual fund drives, debts, and legal costs. The foundation generally will not sponsor conferences, seminars, or projects in foreign countries.

OTHER THINGS YOU SHOULD KNOW
United Way agencies or other umbrella organizations will not be considered for funding without prior written approval from the umbrella organization.
Foundation Publications: annual report

GRANTS ANALYSIS
Total Grants: $834,140
Number of Grants: 42
Average Grant: $19,860
Highest Grant: $150,000
Typical Range: $3,000 to $25,000
Disclosure Period: 1988
GRANTS ANALYSIS
Total Grants: $8,026,412
Number of Grants: 89
Average Grant: $22,439*
Highest Grant: $2,129,373
Typical Range: $500 to $5,000 and $15,000 to $50,000
Disclosure Period: 1989
Note: The average grant figure is arrived at by using the total grants paid on grants awarded in 1989 ($1,997,054). This figure excludes three charitable contributions. The balance of 1989 contributions represents funds paid on outstanding grants awarded in previous years.

RECENT GRANTS
Civic & Public Affairs
50,000 State of Colorado, Denver, CO — support for communities for a drug-free Colorado
40,000 Colorado Department of Social Services, Denver, CO — project to develop a uniform assessment instrument for elderly clients in need of long-term care services

Education
109,196 Education Foundation, CO — statewide nursing articulation project to ease academic progression for Colorado nurses
32,400 University College, University of Denver, CO — support for advanced certificate programs in health care organizations and systems

Health
133,276 Rural Healthcare Initiative, Arvada, CO — technical assistance, administrative costs, and evaluation expenses for three years
54,000 N.U.R.S.E.S. of Colorado Corporation, Denver, CO — support for a statewide peer employee substance abuse assistance program for nurses
50,000 Colorado Department of Health, Denver, CO — to develop statewide plan for the future of public health in Colorado
40,430 University of Colorado Health Sciences Center, Denver, CO — Colorado nursing home influenza project

Social Services
2,129,373 Presbyterian/St. Luke’s Community Foundation, Denver, CO
383,380 Colorado Episcopal Foundation, Denver, CO
383,380 Presbytery of Denver, CO
87,922 Denver Department of Social Services, CO — to develop and implement a comprehensive community service model to support efforts of AFDC families to become self-sufficient
50,000 Colorado Initiative on Teen Pregnancy, CO — statewide teen pregnancy prevention program
43,980 Lake County School District, CO — to develop and implement a childcare program in six to eight school districts
36,837 Artreach, Denver, CO — two-year grant to support programs assisting low-income elderly persons and individuals with handicapping conditions
25,787 Colorado Department of Education, Denver, CO — support for coordination of teen pregnancy prevention programs in Colorado
25,000 University of Colorado at Denver, CO — national leadership institute on aging
20,000 Institute for Creative Aging, Littleton, CO
20,000 Gathering Place, Denver, CO — support for day shelter for homeless women and children in Denver
16,000 Abusive Men Exploring New Directions (AMEND), CO — victim advocacy for court-ordered men’s counseling

15,800 Denver Regional Council of Governments, CO — support for planning an information and referral network for the elderly in metropolitan Denver
15,000 Pueblo Agency on Aging, Pueblo, CO
15,000 Colorado Department of Social Services, Denver, CO — a self-sufficiency client-tracking system for the family opportunity program
5,000 Chatfield Rotary Club, Littleton, CO — support for a comprehensive system of prenatal instruction for teenage mothers at Chatfield High School
5,000 Whole Family, Lakewood, CO — support for children with developmental disabilities and their families
3,075 Boulder County United Way, Boulder, CO — support to automate an information and referral system for elderly persons in Boulder County

CONTACT
Adrienne A. Fisher
Grants Manager
Commonwealth Fund
1 East 75th Street
New York, New York 10021-2692
(212) 535-0400

FINANCIAL SUMMARY
Recent Giving: $10,553,889 (fiscal 1989); $7,512,163 (fiscal 1988); $8,877,000 (fiscal 1987)
Assets: $314,131,887 (fiscal year ending June 30, 1989); $281,530,490 (fiscal 1988); $299,156,099 (fiscal 1987)
Gifts Received: $15,325,130 (fiscal 1987)

CONTRIBUTIONS SUMMARY
Type of Foundation: general purpose
Major Priority: the elderly, high school students, health care, and the promotion of healthier lifestyles
Geographic Distribution: national, some emphasis on New York City
Grant Types: fellowship, project, and research

DONOR INFORMATION
The Commonwealth Fund was established in 1918 with a gift of about $10 million from Anna M. Harkness. Her husband, Stephen (d. 1888), was a founding investor in Standard Oil Company. Her son, Edward S. Harkness, was the fund’s president until his death in 1940, and developed the fund’s basic programs.

FOUNDATION PHILOSOPHY
The foundation was established with the broad mission of enhancing the common good. “To carry out this mandate, in the 1980s the Fund has looked for new opportunities to improve Americans’ health and well-being and to assist specific groups of Americans who have serious and neglected problems.” The Fund’s five major programs aim to improve health care services, to advance the well-being of elderly people, particularly those living alone, to develop the capacities of high school students, to promote healthier lifestyles, and to improve the health of minorities. Since 1925, the Fund has also awarded Harkness Fellowships to enable young citizens of the United Kingdom, Australia, and New Zealand to study and travel in the United States.”

© 1991 The Taft Group
The foundation supports programs to improve the health and well-being of elderly people, along with programs to foster young talent, to support expanded employment opportunities for older Americans who wish to remain in the workforce, to support organizations working with foundations, and to improve the quality of life in New York City.

CONTRIBUTIONS ANALYSIS
In fiscal 1989, the fund directed 45% of its grants to health concerns, funding primarily hospitals. Educational organizations and projects received 32% of funds, while civic and public policy groups received 15%. Social service concerns were allocated 3%, and the remaining 1% went to the arts.

TYPICAL RECIPIENTS
Arts & Humanities: arts associations and history/historic preservation
Civil & Public Affairs: ethnic/minority organizations, public policy, safety, urban & community affairs, and zoos-botanical gardens
Education: business education, minority education, and social sciences education
Health: health organizations, hospitals, and medical research
Social Services: drugs & alcohol

OFFICERS AND DIRECTORS
Margaret E. Mahoney: pres PHIL AFFIL dir: MacAnthur (John D and Catherine T) Fdn
Harriet Bundy Bellin: dir NONPR AFFIL trust: Radcliffe Coll, New England Aquarium
Lawrence S. Huntington: dir

ASSN, NY Gov's Task Force Life & Law PHIL AFFIL trust: Guggenheim (John Simon) Manl Fdn; chmn, trust, mem fn & admin comm: Carnegie Corp of NY
Blenda J. Wilson: dir CURR EMPL chancellor: Univ MI Dearborn
John E. Craig, Jr.: vp, treas
Adrienne A. Fisher: grants mgr

APPLICATION AND REVIEW PROCEDURES
Initial Contact: Applicant should submit a letter in triplicate outlining the issue to be addressed.
Include Information On: Include a description of the nature and importance of the issue; approach to be developed, implemented, or tested; utility of the project; work plan; amount requested; criteria for measuring the project's success or failure; and qualifications of the person(s) who would direct the project.
Deadlines: Applicants may send requests any time.
Review Process: Applications are reviewed by the staff to judge merit. Applicants will be notified within one month of initial review. Those viewed favorably are reviewed and voted to the board of directors at board meetings in April, July, and November.
Note: The foundation does not fund individuals, or make grants for general support, buildings, renovations of facilities, or major equipment.
Foundation Publications: annual report

GRANTS ANALYSIS
Total Grants: $10,553,899
Number of Grants: 134*
Average Grant: $70,499*
Highest Grant: $555,000*
Typical Range: $25,000 to $200,000*
Disclosure Period: fiscal year ending June 30, 1989
Note: Figures include grants made from the president's discretionary fund (30 grants for $500,000) and do not include fellowships and matching grants, which totaled $220,256.

RECENT GRANTS
Arts & Humanities
20,000 Educational Film Center, Annandale, VA — for a film treatment of the professional life of John W. Gardner
Civil & Public Affairs
270,000 Manpower Demonstration Research Corporation, New York, NY — evaluation of the career beginnings program
100,000 Louis Harris and Associates, New York, NY — study of older people's attitudes toward work

129
86,000 Executive Office of Public Safety of the Commonwealth of Massachusetts, Boston, MA — for the Massachusetts saving lives project
75,000 ICF, Fairfax, VA — to study the economic contribution of older workers
63,000 City of Lowell, MA — for the Massachusetts saving lives project

Education
376,500 University of Rochester, NY — for the Commonwealth Fund executive nurse fellowship program
317,500 University of Rochester, NY — for the Commonwealth Fund executive nurse fellowship program
250,000 Brandeis University, Waltham, MA — development and management of the career beginners program
250,000 Johns Hopkins University, School of Hygiene and Public Health, Baltimore, MD — for the Commonwealth Fund commission on elderly people living alone
125,000 Rockefeller University, New York, NY — for the Commonwealth Fund book program
112,500 Johns Hopkins University, School of Hygiene and Public Health, Baltimore, MD — "Living Alone Successfully" program
100,000 Johns Hopkins University, School of Hygiene and Public Health, Baltimore, MD — support for financing home care for frail elderly people

Health
555,000 Beth Israel Hospital, Boston, MA — for the Picker/Commonwealth patient-centered care program
337,000 Beth Israel Hospital, Boston, MA — for the Picker/Commonwealth patient-centered care program
295,000 National Medical Fellowships, New York, NY — for the Commonwealth Fund fellowship program in academic medicine for minority students
220,000 National Academy of Sciences, Institute of Medicine, Washington, DC — to develop a national research agenda on aging
207,000 Ramsey County Public Health Department, St. Paul, MN — for a project of the living-at-home program
180,000 Northwestern University, Center for Health Services and Policy Research, Evanston, IL — an evaluation of the living-at-home program
170,000 Association of American Medical Colleges, Washington, DC — for better policy analysis capability for teaching hospitals
135,000 Hospital Research and Education Trust, Chicago, IL — to address the nursing shortage
80,000 New York University, NY — to improve the ambulance service in New York City
74,000 Boston University Hospital, MA — for medical center

Social Services
75,000 Children's Defense Fund, Washington, DC — for a program concerning adolescent development and the prevention of pregnancy

Compton Foundation

CONTACT
James R. Compton, President
Compton Foundation
525 Middlefield Road, Suite 115
Menlo Park, California 94025
(415) 328-0101

FINANCIAL SUMMARY
Gifts Received: $112,338 (1987)
Fiscal Note: In 1987, the foundation received contributions totaling $112,338 from Randolph P. Compton of New York City.

CONTRIBUTIONS SUMMARY
Type of Foundation: family
Major Priority: civic affairs, with emphasis on environmental issues, and educational interests
Geographic Distribution: national, with complementary regional programs
Grant Types: conference/seminar, endowment, fellowship, general support, project, research, and scholarship

DONOR INFORMATION
The Compton Foundation was established in 1973 to serve the public welfare. The organization it succeeded, the Compton Trust, was a charitable trust created in 1946 by members of the Compton family to coordinate family gifts to community, national, and international programs. The trust's founders were Dorothy D. Compton (1895-1974), W. Danforth Compton (1919-1955), Randolph P. Compton (1919-1987), James R. Compton, and Ann C. Stephens.

FOUNDATION PHILOSOPHY
The Compton Foundation combines a general program, which is national in scope, with two regional programs. A key component of the foundation's philosophy is its interest in service at the community level. The two regional programs, which are centered on the East and West Coasts, reflect the interests of the foundation's directors within their communities. The general program's interests are often local in range, but overlap with regional programs in that funding may be given to local chapters of international organizations.

Civic support currently includes research and education on arms control, international relations, population growth, environmental quality, preservation of natural resources, and protection of individual rights. Educational support focuses on equal opportunity through grants to schools, colleges, and related research and teaching projects. Social service support is concentrated on providing adequate social, health, mental health, and youth services at the community level. Cultural support favors those organizations promoting community participation and individual development. The foundation also provides support for churches and religious programs.

CONTRIBUTIONS ANALYSIS
In 1988, about 45% of funds were given in the area of civic and public affairs, with a concentration on environmental affairs. The foundation gave approximately 27% to educational interests; social services and cultural organizations received 15% and 12%, respectively. The remainder of funding went to international affairs, health, religion, and the sciences.

TYPICAL RECIPIENTS
**Arts & Humanities:** arts institutes, dance, museums/galleries, music, and performing arts
**Civic & Public Affairs:** environmental affairs, international affairs, law & justice, and public policy
**Education:** arts education, colleges & universities, education funds, international exchange, international studies, public education (precollege), special education, and student aid
**Social Services:** aged, animal protection, child welfare, community service organizations, counseling, delinquency & crime, drugs & al-
Culpeper (Charles E.) Foundation

CONTACT
Linda E. Jacob
Program Director
Charles E. Culpeper Foundation
Ten Stamford Forum, 8th Floor
Stamford, Connecticut 06901
(203) 975-1240

FINANCIAL SUMMARY
Recent Giving: $6,461,481 (1989); $5,752,112 (1988); $5,141,450 (1987)
Gifts Received: $1,762 (1989); $1,481 (1988); $1,587 (1987); $1,962 (1986)

CONTRIBUTIONS SUMMARY
Type of Foundation: general purpose
Major Priority: model projects in areas of health, education, the arts, administration of justice, and youth
Geographic Distribution: wide range of distribution within the United States
Grant Types: capital, matching, multiyear/continuing support, project, research, and scholarship

DONOR INFORMATION
The Culpeper Foundation was established through the will of Charles E. Culpeper, a pioneer in the bottling and marketing of Coca-Cola. He was born in Rome, Georgia, in 1874, and served as president and chairman of the Coca-Cola Bottling Company of New York. His will gave most of his fortune to establish the foundation. He requested that a portion of the principal be conserved for the benefit of future generations. The foundation was established when he died in 1940.

FOUNDATION PHILOSOPHY
The foundation has established a medical scholarship program to provide assistance to certain well-qualified physicians who have a high potential for making a significant contribution to medical science. The purpose of the program is to provide up to three years of support, including core research expenses, to the selected physicians for specifically described research.
Foundation support for educational programs, other than those in the medical area, is directed primarily toward undergraduate liberal arts and sciences at private institutions of higher learning. The foundation also gives aid to foreign language teaching programs, particularly those using new technologies, to programs in international and non-Western studies, to teaching programs in mathematics, to automation of college libraries and the creation of consortia, and to programs for preservation of library materials.

TYPICAL RECIPIENTS
Arts & Humanities: arts associations, arts centers, arts institutes, dance, history/historic preservation, libraries, museums/galleries, music, opera, public broadcasting, and theater
Civic & Public Affairs: environmental affairs, international affairs, and law & justice
Education: colleges & universities, education associations, education funds, faculty development, international studies, legal education, medical education, private education (precollage), science/technology education, and special education
Health: hospitals, single disease health associations, and medical research
Religion: churches and religious organizations
Science: scientific institutes
Social Services: child welfare, homes, shelters/homelessness, and youth organizations

OFFICERS AND DIRECTORS

APPLICATION AND REVIEW PROCEDURES
Initial Contact: Application forms and guidelines are available upon request.
Include Information On: Letters should provide a description of the project and its purpose, a detailed budget, and a copy of the IRS tax-exempt determination letter.
Deadlines: There are no deadlines for submitting proposals.
Review Process: If the project falls within the scope of the foundation's interests and if there is a possibility that the project will be funded, the applicant will be asked to furnish more detailed information. Interviews and on-site visits are made at the foundation's discretion only after receiving pertinent materials.
Note: No grants are made to individuals or for conferences, conduit organizations, operating budgets, travel, or loans. Funding for endow-

CONTRIBUTIONS ANALYSIS
In 1989, the foundation gave 53% of its funding to education, primarily to higher education and medical education. The arts received 18% of giving, and civic and public affairs, 10%. Health, primarily hospitals, received 9%, while social services received 6%. Scientific institutes received 3%, with the remaining 1% going to churches and religious organizations.
GRANTS ANALYSIS

Total Grants: $6,461,481
Number of Grants: 229
Average Grant: $28,216
Typical Range: $5,000 to $25,000 and $50,000 to $150,000
Disclosure Period: 1989

RECENT GRANTS

Arts & Humanities
- 250,000 WGBH Boston, MA — Inside Gorbachev’s USSR
- 150,000 National Gallery of Art, Washington, DC — training fellowships in conservation
- 125,000 Carnegie Hall, New York, NY — choral masters workshops
- 100,000 Lincoln Center for the Performing Arts, New York, NY — technical workshop of Lincoln Center Institute

Civic & Public Affairs
- 113,000 Governance Institute, Washington, DC — judicial and legislative interaction study
- 100,000 Foundation Center, New York, NY — to the center’s major gifts campaign
- 75,000 Administrative Conference of the United States, Washington, DC — program to enhance consensus dispute resolution

Education
- 250,000 Rockefeller University, New York, NY — neuroscientific research project
- 233,373 Association of American Medical Colleges, Washington, DC — to assess the state of curricular revisions in U.S. medical schools
- 150,000 Bowdoin College, Brunswick, ME — library consortium comprised of Bates, Bowdoin, and Colby Colleges
- 124,610 Dartmouth Medical School, Hanover, NH — curriculum reform
- 110,000 Grinnell College, IA — language laboratory
- 108,000 Baylor College of Medicine, Houston, TX — Charles E. Culpeper scholarship in medical science
- 108,000 Duke University, Durham, NC — Charles E. Culpeper scholarship in medical science
- 108,000 New York University Medical Center, NY — Charles E. Culpeper scholarship in medical science
- 108,000 University of California, San Francisco, CA — Charles E. Culpeper scholarship in medical science
- 108,000 University of Texas, Health Science Center, Dallas, TX — Charles E. Culpeper scholarship in medical science
- 108,000 Vanderbilt University, Nashville, TN — Charles E. Culpeper scholarship in medical science
- 103,773 Oregon Health Sciences University School of Medicine, Portland, OR — curriculum review
- 101,000 Swarthmore College, PA — tri-college library consortium of Bryn Mawr, Haverford, and Swarthmore
- 100,000 Widener University, Chester, PA — library automation

Health
- 110,000 New England Medical Center, Boston, MA — nursing program
- 50,000 Hospital for Special Surgery, New York, NY — amputee rehabilitation program
- 50,000 St. Francis Hospital, Roslyn, NY — equipment purchase

Science
- 150,000 Bermuda Biological Station for Research, Bermuda — toward purchase of a research vessel

Social Services
- 25,000 Flowers with Care, Astoria, NY — juvenile offenders program

Cummings (Frances L. and Edwin L.) Memorial Fund Trust

CONTACT
J. Andrew Lark, Trustee
Frances L. and Edwin L. Cummings Memorial Fund
c/o Lark and Sullivan
501 Fifth Avenue, Suite 2103-6
New York, New York 10017
(212) 986-6890

FINANCIAL SUMMARY
Recent Giving: $1,748,624 (fiscal 1988); $1,694,948 (fiscal 1986)
Assets: $25,746,836 (fiscal year ending July 31, 1988); $28,224,938 (fiscal 1986)

CONTRIBUTIONS SUMMARY
Type of Foundation: general purpose
Major Priority: medical research, with emphasis on cancer and aging populations; the handicapped; education; and human services
Geographic Distribution: primarily the New York metropolitan area
Grant Types: challenge, endowment, general support, and project

DONOR INFORMATION
The fund was established by Frances L. and Edwin L. Cummings in 1982.

FOUNDATION PHILOSOPHY
The foundation’s philosophy is to assist organizations seeking to benefit “the health and well-being of mankind.” The sole giving restriction is that the “cultural arts” may never be the recipient of foundation funds.

The six major fields of interest for the fund are as follows: clinical and scientific research, primarily medically related, with emphasis on cancer and aging; education and rehabilitation of the physically and medically handicapped; medical equipment for worthwhile health institutions demonstrating special needs; campaigns to build endowments through the establishment of challenge grants; youth-related concerns, especially child abuse prevention; and higher education, particularly for students from disadvantaged backgrounds.

The foundation generally does not approve grant requests for cohabitation and drug prevention projects.

CONTRIBUTIONS ANALYSIS
In fiscal 1988, approximately 47% of giving went to social service organizations. Hospitals and other medical organizations received about 36% of giving, and educational organizations and civic organizations each received about 8% of giving.

© 1991 The Taft Group
CONTRIBUTIONS SUMMARY
Type of Foundation: special purpose
Major Priority: research and evaluation of childhood stress and education; research projects that address the problems of adolescents' transition to adulthood
Geographic Distribution: international, national
Grant Types: endowment, conference/seminar, fellowship, project, and research

DONOR INFORMATION
The William T. Grant Foundation, formerly the Grant Foundation, was established in 1936 by William T. Grant. In 1906, Mr. Grant founded the W. T. Grant Company, which became a nationwide chain of retail stores.

FOUNDATION PHILOSOPHY
The foundation focuses on five major areas of research on school-age children's mental health issues: stress and coping, problem behavior and mental health, the psychological consequences of chronic physical disease, school-age pregnancy, and school-related programs. The foundation is committed to supporting research that aims to improve the mental health and functioning of school-age children. In addition, the foundation has introduced a new focus on research projects that address the problems of adolescents' transition to adulthood.

CONTRIBUTIONS ANALYSIS
Approximately 59% of funding went to health-related programs. Educational programs received about 20%, and roughly 10% each was allotted to the areas of civic and public affairs and the social services.

TYPICAL RECIPIENTS
Civil & Public Affairs: civil rights, public policy, urban & community affairs, and women’s affairs
Education: career/vocational education, minority education, colleges & universities, education associations, elementary education, international studies, medical education, social sciences education, and special education
Health: health funds, health organizations, hospitals, medical research, and pediatric health
International: foreign educational institutions

OFFICIALS AND DIRECTORS

© 1991 The Taft Group
APPLICATION AND REVIEW PROCEDURES

Initial Contact: Applicants should submit a brief summary. If interested, the foundation will request supplementary information, along with six copies of the application.

Include Information On: Include proof of tax-exempt status and a description of the nature of the project, its methodology, financial needs, and other pertinent data.

Deadlines: Applications may be submitted any time. The board of trustees meets four times each year to review applications.

Review Process: Receipt of application is acknowledged by the foundation. Interviews are granted following the review of the application. Note: Except under the Faculty Scholars Program, no grants are made to individuals. The foundation does not fund deficit financing, annual fund-raising campaigns, nonmonetary needs, land acquisition, renovation projects, capital projects, matching grants, or operating budgets of a continuous nature.

Foundation Publications: annual report

GRANTS ANALYSIS

Total Grants: $4,404,581
Number of Grants: 245
Average Grant: $17,978
Highest Grant: $150,779
Typical Range: $10,000 to $50,000
Disclosure Period: 1987

RECENT GRANTS

Education

309,148 Columbia University, New York, NY — coping with junior high school evaluation of a skills-training program

Health

317,975 National Jewish Hospital — assessment of psychological and physiological stress in children of high genetic risk for the development of asthma

Social Services

242,607 Pennsylvania State University, State College, PA — stress, coping, and temperament in school-age children

Grassmann (E. J.) Trust

CONTACT

William V. Engel
Executive Director
E. J. Grassmann Trust
P.O. Box 4470
Warren, New Jersey 07060
(201) 753-2440

© 1991 The Taft Group
Hughes (Howard) Medical Institute


Marianne Swartz: Trust

APPLICATION AND REVIEW PROCEDURES

Initial Contact: Applicants should send a brief letter, signed by a senior officer of the requesting organization.

Include Information On: The letter of request should include a brief description of the organization; description of the proposed program, including an explanation of its importance and a clear statement of its goals; detailed income and expense budget for the program; potential sources of other funding; and amount requested and time period during which the funds will be used. Proof of the organization's tax-exempt status is required.

Deadlines: Grant requests should be submitted by April 15, August 15, or December 15. Requests received after these dates are reviewed during the next period.

Note: The foundation does not make grants for endowments, fund-raising social events, conferences, or exhibits. Also, the foundation does not fund individuals, except under the Hudson-Webber program for Hudsonians. Programs outside the foundation's geographical area of interest are a low priority.

Foundation Publications: annual report

GRANTS ANALYSIS

Total Grants: $3,579,400*
Number of Grants: 82*
Average Grant: $43,651*
Highest Grant: $400,000
Typical Range: $1,000 to $15,000 and $25,000 to $100,000
Disclosure Period: 1989

Note: Figures exclude grants to employees and former employees of J.L. Hudson Company and their dependents and grants honoring retired trustees.

RECENT GRANTS

Arts & Humanities

300,000 Save Orchestra Hall, Detroit, MI — restoration of Orchestra Hall
75,000 Edison Institute, Dearborn, MI — building improvements at Henry Ford Museum
35,000 Michigan Association of Community Arts Agencies, Grand Rapids, MI — strategic planning program for Michigan's smaller arts organizations
25,000 Music Hall Center for the Performing Arts, Detroit, MI — comprehensive strategic planning
24,000 Detroit Historical Society, MI — comprehensive strategic planning
13,000 Music Hall Center for the Performing Arts, Detroit, MI — general program support
5,000 Edison Institute, Dearborn, MI — general program support of Greenfield Village and Henry Ford Museum

Civic & Public Affairs

125,000 Central Business District Foundation, Detroit, MI — light up Detroit project
100,000 Focus: HOPE, Detroit, MI — center for advanced technology
100,000 Detroit Zoological Society, MI — construction of chimpanzee exhibit
75,000 New Detroit, MI — Detroit Neighborhood Housing Services program
50,000 International Exchange Council, Detroit, MI — minority business export trade assistance program
5,000 Detroit Zoological Society, MI — general program support

Education

150,000 Wayne State University, Detroit, MI — research building for Wayne State's Medical School
60,000 University Cultural Center Association, Detroit, MI — development implementation plan for Woodward area
60,000 Detroit Public Schools, MI — for programs at Webber Middle School
30,000 University Cultural Center Association, Detroit, MI — cultural center consultation
20,000 University Cultural Center Association, Detroit, MI — development strategy for Woodward Corridor

Health

400,000 Detroit Medical Center, MI — campus landscaping
250,000 Children's Hospital of Michigan, Detroit, MI — new century project
250,000 Harper-Grace Hospitals, Detroit, MI — cancer institute at Detroit Medical Center, cardiology program
200,000 Hutzel Hospital, Detroit, MI — Kresge Eye Institute
74,000 Harper-Grace Hospitals, Detroit, MI — cancer institute at Detroit Medical Center, colon cancer center
50,000 Greater Detroit Area Health Council, MI — AIDS consortium program director
35,000 Children's Hospital of Michigan, Detroit, MI — physician referral service

Social Services

150,000 United Foundation, Detroit, MI — operating funds for member agencies
75,000 Out-Wayne County Human Services, Northville, MI — expansion of youth assistance program
65,000 Community Foundation for Southeastern Michigan, Detroit, MI — general program support
39,000 Detroit Recreation Department, MI — Detroit youth restitution program
35,000 United Foundation, Detroit, MI — Urban Progress Fund for New Detroit

Hughes (Howard) Medical Institute

Foundation Reporter, 1991

CONTACT

Joseph G. Pergich, M.D.
Vice President for Grants and Special Programs
Howard Hughes Medical Institute
6701 Rockledge Drive
Bethesda, Maryland 20817
(301) 571-0335

Note: Information on graduate programs may be obtained from Barbara Filner, Ph.D. Information on the undergraduate program may be obtained from Stephen A. Barkanic. Information on the assessment program may be obtained from David Davis-Van Atta.

FINANCIAL SUMMARY

Recent Giving: $238,448,000 (fiscal 1989)
Assets: $6,432,000,000 (fiscal year ending August 31, 1989)
Fiscal Note: The institute's recent giving figure is comprised of the Medical Research Program ($196,561,000) and Grants and Special Programs ($41,887,000).

CONTRIBUTIONS SUMMARY

Type of Foundation: special purpose (medical research)
Major Priority: medical research; graduate and undergraduate science education
Geographic Distribution: national and international
Grant Types: fellowship, project, and research

DONOR INFORMATION
The Howard Hughes Medical Institute was established as a medical research organization in 1953 by aviator-industrialist Howard R. Hughes. The Institute was funded through its ownership of the Hughes Aircraft Company whose sole trustee was Mr. Hughes until his death in 1976. New trustees were appointed in 1984, and, in 1985, they decided to sell Hughes Aircraft Company to General Motors Corporation. The proceeds of that sale represent the basis of the institute's present endowment.

FOUNDATION PHILOSOPHY
The Howard Hughes Medical Institute is a nonprofit scientific and philanthropic organization whose principal purpose is the direct conduct of medical research. According to the institute's charter, "the primary purpose of the Howard Hughes Medical Institute shall be the promotion of human knowledge within the field of the basic sciences (principally the field of medical research and medical education) and the effective application thereof for the benefit of mankind." The institute is qualified as a medical research organization, not as a private foundation, under the federal tax code. It administers a medical research program for the direct conduct of medical research and a grants program for support of science education.

Under the Medical Research Program, the institute employs over 200 scientific investigators in the fields of cell biology and regulation, genetics, immunology, neuroscience, and structural biology. These investigators conduct fundamental biomedical research at more than 40 medical centers, hospitals, and universities, and other research institutes throughout the United States. The institute strives to support outstanding researchers whose work addresses fundamental biomedical processes and shows promise for alleviating disease.

As a medical research organization, the institute is required to be involved in the "continuous, direct conduct of research in conjunction with hospitals." Until 1986 this requirement was met by the institute's employing investigators in research units at medical schools. Since then the institute has also been appointing, at a wide range of medical schools, universities, and research institutes, individual scientists who have meaningful scientific relationships with hospitals.

Scientific training and development are also part of the institute's medical research activities. Research experience for postdoctoral associates and graduate students is provided in the institute's laboratories. In addition, a joint program with the National Institutes of Health brings medical students to the facility in Bethesda, Maryland, for a year or more of full-time research.

In 1987, the institute established a grants program to help strengthen education in biology and related sciences, complementing its medical research activities. A principal objective of the grants program is to attract capable students to careers in biomedical research and education and thereby foster future scientific discovery. The emphasis of the grants program is on graduate and undergraduate science education.

A primary objective of the graduate program is to provide graduate level educational opportunities that will contribute to the continued strength and quality of the nation's pool of biomedical investigators. Graduate support is principally for fellowships awarded under three programs, each representing a different level of graduate study in biological sciences. In each program, special emphasis is placed on the institute's research areas, namely, cell biology and regulation, genetics, immunology, neuroscience, and structural biology.

The objective of the Predoctoral Fellowships in Biological Sciences program is to support high quality graduate education for outstanding prospective researchers. Under this program, the institute awards up to 66 fellowships annually for a maximum of five years of full-time study toward a Ph.D. or Sc.D. degree in biological sciences.

Medical Student Research Training Fellowships are designed to expand the pool of medically trained researchers, providing support for highly promising medical students to explore a career in research.

CONTRIBUTIONS ANALYSIS
In fiscal 1989, the institute gave 82% of total expenditures to its Medical Research Program and 18% to its Grants and Special Programs. Under the Grants and Special Programs area, 72% of giving went to undergraduate education, 20% to graduate education, 5% to health sciences policy studies, 2% to program assessment and special programs, and 1% to precollege science education.
TYPICAL RECIPIENTS

**Civic & Public Affairs:** public policy

**Education:** colleges & universities, medical education, and science/technology education

**Health:** medical research

**Sciences:** scientific institutes and scientific organizations

OFFICERS AND DIRECTORS

Irving S. Shapiro: chmn, trust B Minneapolis MN 1916 ED Univ MN BS 1939, LLB 1941 Curr EMPL atty, of coun: Skadden Arps Slate Meagher & Flom; former chmn, former ceo: E I du Pont de Nemours & Co CORP AFFIL dir: AEA Investors; sr coun bd counselors: Bechtel Group; mem intl adv coun: Wells Fargo Bank


APPLICATION AND REVIEW PROCEDURES

Initial Contact: For Grants and Special Programs, each of the institute's programs in graduate and undergraduate education has individual eligibility requirements, criteria for support, and methods of

© 1991 The Taft Group
application. Informational brochures, program announcements, and application forms should be consulted prior to contact. These materials are available upon request from the institute's Office of Grants and Special Programs.

Deadlines: For Grants and Special Programs, deadlines vary according to the particular program of interest. In the graduate program, deadlines for fellowships are as follows: Predoctoral Fellowships in Biological Sciences, early November; Medical Student Research Training Fellowships, early December; and Postdoctoral Research Fellowships for Physicians, early March. In the undergraduate program, the deadline for invited proposals is the first week of January. Program announcements should be consulted for the exact dates.

Review Process: For grants and special programs, applications for graduate fellowships and proposals for undergraduate grants are peer reviewed by panels of experts and an internal scientific committee. Based on these reviews, institute management submits grant recommendations for approval to the trustees for authorization of funding.

Foundation Publications: informational brochures, program announcements, and application guidelines

GRANTS ANALYSIS
Total Grants: $41,887,000*
Number of Grants: 163
Average Grant: $256,975*
Highest Grant: $2,000,000
Typical Range: $20,000 to $23,000; and for institutional grants in the undergraduate graduate program is $21,500, and for the undergraduate program, expenditure figure to $238,448,000. The average grant figure for the institute for its Medical Research Program, bringing the total

Disclosure Period: fiscal year ending August 31, 1989

Typical Range: $200,000 to $2,000,000 (fiscal 1989); $1,200,000 (fiscal 1988); $3,182,375 (fiscal 1987)

Note: The total grants figure does not include $196,561,000 expended

Number of Grants: 163
Total Grants: $41,887,000*
Highest Grant: $2,000,000
Average Grant: $256,975*

Recent Giving: $480,550 (fiscal 1989); $428,000 (fiscal 1988); $3,182,375 (fiscal 1987)

Assets: $25,373,739 (fiscal year ending May 31, 1989); $19,514,824 (fiscal 1988); $19,918,353 (fiscal 1987)

CONTRIBUTIONS SUMMARY
Type of Foundation: family
Major Priority: Carnegie-Mellon University for the Hunt Institute for Botanical Documentation; limited support to other educational institutions, civic groups, and religious organizations

Geographic Distribution: primarily Pittsburgh, PA

Grant Types: capital and general support

DONOR INFORMATION
The Roy A. Hunt Foundation was established in 1966, with funds bequeathed by Roy A. Hunt, former president and chairman of the executive committee of Alcoa. Mr. Hunt was an alumnus of Shady Side Academy and Yale University, and a trustee of the Carnegie Institute of Technology (now Carnegie-Mellon University). Each of these institutions traditionally receives support from the foundation.

Mr. Hunt and his wife founded the Hunt Institute for Botanical Documentation at Carnegie-Mellon in 1961. This institute was formed to receive and supervise Mrs. Hunt's large botanical collections, and remains a substantial recipient of the foundation's annual grants. The late Mr. Hunt and members of his family also set up the Hunt Foundation (c/o Mellon Bank, N.A., P.O. Box 185, Pittsburgh, PA 15230), which gave $544,789 in 1988.

FOUNDATION PHILOSOPHY
The foundation primarily supports the institutions with which Mr. and Mrs. Hunt were involved.

CONTRIBUTIONS ANALYSIS
In fiscal 1989, the foundation gave approximately 85% of its funding to educational institutions, primarily to Carnegie-Mellon University, with specified grants going to fund the Hunt Institute for Botanical Documentation. About 8% went to civic and public affairs, with the remaining 7% supporting the arts.

TYPICAL RECIPIENTS
Arts & Humanities: museums/galleries and theater
Civic & Public Affairs: environmental affairs, international affairs, national security, philanthropic organizations, and zoos/botanical gardens

Education: colleges & universities and private education (precollege)
Although Mr. Johnson established the foundation in 1936, national giving did not begin until 1972. Robert Wood Johnson died in 1968, leaving nearly $1 billion in Johnson & Johnson stock to the foundation.

**FOUNDATION PHILOSOPHY**

Originally the foundation made grants to assist groups improving access to general medical and dental care and its public policy aspects. By 1980, the foundation perceived changes in both health care and organized philanthropy and refocused its efforts to fund projects to improve access to care for people with the most serious geographic, cultural, financial, and other barriers to care; to make arrangements for health care more effective and affordable; and to help people maintain or more quickly regain their functional abilities for everyday life.

In 1987, the foundation redirected its purpose to begin focusing upon improving health services; helping those groups most susceptible to disease; addressing individual health problems on a large scale; and promoting bold, creative approaches and solutions to health concerns. The foundation, therefore, has sought to explore and fund new areas of medical care concerned with infant, child, and adolescent welfare; chronic illness and disability, particularly among the elderly; AIDS concerns; substance abuse; mental illness; the organization and quality of health services; medical ethical issues; quality and availability of health professionals; and the problems arising from technological advances in medicine. Supported programs spanned the areas of education, social services, and civic affairs, yet all related to health interests. In 1989, the foundation announced that it was making available up to $12 million to support research, evaluation, and demonstration projects that will assess the impact of major changes in the financing and organization of health services on health care costs, quality, and access to care. An additional $3 million was made available in an effort to strengthen the nation’s nursing services, supporting projects that address nursing manpower and educational development.

The foundation recently announced a program to reduce the demand for drugs and alcohol, the “Fighting Back” program, which is intended to mobilize all concerned community groups to assume responsibility for preventing substance abuse and treating abusers. Another foundation program, announced in 1990, will facilitate the integration and coordination of community resources to improve the care of children. In 1990, the foundation announced that it was making available up to $3 million to stimulate and support research and demonstration projects that will identify new and practical mechanisms to assure the delivery of high quality patient care.

**CONTRIBUTIONS ANALYSIS**

In 1989, about 64% of foundation grants were given to health interests. Health-related educational and social service interests received 30%. Civic organizations received the remaining 6%.

**TYPICAL RECIPIENTS**

**Arts & Humanities**: public broadcasting

**Education**: colleges & universities, health & physical education, medical education, minority education, and student aid

**Health**: geriatric health, health care cost containment, health organizations, hospices, hospitals, medical research, medical training, mental health, nursing services, outpatient health care delivery, pediatric health, public health, and single disease health associations

**Social Services**: aged, child welfare, community centers, community service organizations, disabled, drugs & alcohol, family services, homes, united funds, and volunteer services

**OFFICERS AND DIRECTORS**


Terrance Keenan: vp (special programs) B Philadelphia PA 1924 ED Yale Univ AB 1950 NONPR AFFIL mem: Pub Rel Soc Am; mem bd overseers: Univ PA Sch Nursing; dir: Am Coll Nurse Midwives Fdn, Grantmakers in Health

Jeffrey C. Merrill: vp B Brooklyn NY 1943 ED Johns Hopkins Univ BA 1964, MPH 1973


Andrew R. Greene: vp (fin monitoring)


James E. Burke: trust B Rutland VT 1925 ED Coll Holy Cross BS 1947; Harvard Univ MBA 1949 CURR EMPL chmn, ceo: Johnson & Johnson CORP AFFIL: Prudential Ins Co, IBM NONPR AFFIL; vchmn bus coun bd dir: Coun Council; vchmn: Corp Fund


Leonard F. Hill: trust B New Brunswick NJ 1919 ED Rutgers Univ; NY Univ

Frank L. Hoemeneyer: trust B Cincinnati OH 1919 ED Xavier Univ BS 1941; Univ PA (Wharton Sch) MBA 1947 CURR EMPL former vchmn: Prudential Ins Co NONPR AFFIL trust: Xavier Univ


Robert H. Myers: trust CURR EMPL pmr: Williams Myers & Quiggle

Jack W. Owen: trust


APPLICATION AND REVIEW PROCEDURES

Initial Contact: Initial inquiry should be by a letter no longer than four double-spaced pages on organizational letterhead. There is no standard application form.

Include Information On: Letters of inquiry should include a description of need to be addressed, outline of objectives, description of the project, intended goals, organization and personnel credentials and qualifications, grant timetable, budget estimate, other financial sources, amount needed, means of project evaluation, plans for funding after expiration of grant, and name of contact person. Based upon its review of the letter of inquiry, the foundation staff may request a full proposal. Applicants will receive instructions regarding the information it should contain and how to present it.

Deadlines: There are no deadlines.

Note: The foundation rarely supports deficit financing programs; ongoing operating expenses; capital projects; endowments; basic biomedical research; research on unapproved drug therapies or devices; conferences, symposia, publications, or media projects, unless they are integrally related to the foundation’s program objectives or an outgrowth of one of its programs; international projects or institutions; or individuals.

OTHER THINGS YOU SHOULD KNOW

The foundation generally supports projects reflecting the applicant’s own interest, so long as it is related to the foundation’s current areas of interest. The foundation also supports investigator-initiated projects devised in response to a foundation call for proposals and projects that are a part of the foundation’s national programs.

Foundation Publications: annual report, brochure, newsletter, guidelines for grant applicants

GRANTS ANALYSIS

Total Grants: $98,150,073
Number of Grants: 412
Average Grant: $228,228
Highest Grant: $3,291,215
Typical Range: $100,000 to $1,000,000
Disclosure Period: 1989

RECENT GRANTS

Civic & Public Affairs
3,291,215 RWJ Property Holding Corporation, New Brunswick, NJ — property acquisition
2,000,000 New Brunswick Development Corporation, NJ — redevelopment program

Education
1,415,127 University of California, School of Medicine, Los Angeles, CA — postdoctoral fellowships for young physicians to develop research skills in non-biological disciplines relevant to medical care
1,277,950 Yale University, School of Medicine, New Haven, CT — postdoctoral fellowships for young physicians to develop research skills in non-biological disciplines relevant to medical care

© 1991 The Taft Group
GRANTS ANALYSIS
Total Grants: $874,450
Number of Grants: 40
Average Grant: $21,861
Highest Grant: $100,000
Typical Range: $5,000 to $50,000
Disclosure Period: 1988

RECENT GRANTS
Arts & Humanities
60,000 Birmingham Museum of Art, AL — second payment of 1987 grant
20,000 Alabama Symphony Orchestra, Birmingham, AL
5,000 Birmingham Children’s Theatre, AL
Civic & Public Affairs
100,000 Birmingham Landmarks, AL
15,000 Lakeshore, Birmingham, AL
10,000 Biffnner Mountain Nature Center, Birmingham, AL
10,000 Partners in Neighborhood Growth
Education
100,000 Birmingham Southern, AL — last payment of 1987 grant
80,000 University of Alabama, Birmingham, AL — fourth payment of five part grant
40,000 Samford University, Birmingham, AL — third payment of five part grant
25,000 Southeastern Bible College, Birmingham, AL
25,000 Talladega College, Talladega, AL
10,000 Lawson State Community College, Birmingham, AL
Health
50,000 Eye Foundation, Birmingham, AL
50,000 Children’s Hospital of Alabama, Birmingham, AL
20,000 Glenwood Mental Health Services, Birmingham, AL
Religion
10,000 Re-entry Ministries, Birmingham, AL
Social Services
50,000 Junior League of Birmingham, AL
30,000 Alabama Institute for the Deaf and Blind, Talladega, AL
25,000 Boy Scouts of America, Birmingham Area Council, AL
12,000 Birmingham Healthcare for the Homeless Coalition, AL
10,000 Birmingham Metropolitan Area, AL

Milbank Memorial Fund

CONTACT
Daniel M. Fox, Ph.D., President
Milbank Memorial Fund
1 East 75th Street
New York, New York 10021
(212) 570-4804

FINANCIAL SUMMARY
Recent Giving: $251,414 (1989); $454,925 (1988); $748,890 (1987)
Assets: $40,328,918 (1989); $32,861,000 (1988); $32,691,238 (1987)
Gifts Received: $18,000 (1989)

CONTRIBUTIONS SUMMARY
Type of Foundation: special purpose and operating
Major Priority: health policy
Geographic Distribution: national
Grant Types: project and research

DONOR INFORMATION
The Milbank Memorial Fund was established in 1905 by the late Elizabeth Milbank Anderson in memory of her parents, Jeremiah and Elizabeth Lake Milbank. Mrs. Anderson made donations to the fund during her lifetime, and bequeathed more to it in her will. Mrs. Anderson came from a wealthy family that derived its fortune from the Borden Milk Company and financing of the Chicago, Milwaukee, and St. Paul railroad. She actively supported higher education for women, social services, and public health.

FOUNDATION PHILOSOPHY
The fund continues its donor’s interest in public health. Since 1923, the fund has published the Milbank Quarterly. A new program, to operate in conjunction with this publication, has been initiated called the Milbank Health Policy Reviews. The program will commission work that will contribute to the debate on health issues. Its goal is to influence public policy by defining these issues more precisely and by evaluating options more critically.

CONTRIBUTIONS ANALYSIS
In 1988, the foundation solely funded public health and education programs for migrant farm workers.

TYPICAL RECIPIENTS
Civic & Public Affairs: nonprofit management
Education: colleges & universities and social sciences education
Health: health care cost containment and public health

OFFICERS AND DIRECTORS
Daniel M. Fox, PhD: pres
Samuel L. Milbank: chmn PHIL AFFIL vp, dir: Memton Fund
Peter Gottsegen: dir
Leroy E. Burney: dir
Thomas E. Harvey: dir B Evanston IL 1941 ED Univ Notre Dame BA 1963, JD 1966 CURR EMPL dep adm: Veterans Admin NONPR AFFIL mem: White House Fellows Fdn, IL Bar Assn, IN Bar Assn, NY Bar Assn, DC Bar Assn
Jeremiah Milbank, Jr.: dir
Rosemary Anne Stevens, PhD: dir B Bourne England ED Oxford Univ BA 1957; Yale Univ MPH 1963, PhD 1968 CURR EMPL prof: Univ PA NONPR AFFIL mem health program adv comm: Off Tech Assessment US Congress; mem bd mngs: Friends Hosp (Philadelphia);
GRANTS ANALYSIS
Total Grants: $78,072,560
Number of Grants: 805
Average Grant: $97,555
Highest Grant: $1,200,000
Typical Range: $5,000 to $100,000
Disclosure Period: 1989
Note: The average grant figure excludes 40 grants totaling $22,567,685.

RECENT GRANTS

Arts & Humanities
388,000 Carnegie Hall Society, New York, NY — to enable Carnegie Hall to administer the last in a series of international competitions for excellence in the performance of 20th-century American recital and concert music
350,000 Southeastern Center for Contemporary Art, Winston-Salem, NC — to enable the center to continue its initiatives in the visual arts program
300,000 Dance Theater Workshop, New York, NY — to continue support for its "Suitcase Fund," a program of reciprocal touring by artists and performing arts companies from the United States and abroad
285,000 Institute of International Education, New York, NY — to enable its arts international program to administer the fund
250,000 WGBH Educational Foundation, Boston, MA — to enhance public knowledge of Latin America through support for a prime-time public television series, entitled "The Other Americas," on the region's contemporary history

Civil & Public Affairs
800,000 Puerto Rican Legal Defense and Education Fund, New York, NY — to provide stable support for litigation activities in the field of civil rights, and to help the fund establish financial planning and management practices and initiate fund-raising strategies that will attract new donors
660,000 Joint Center for Political Studies, Washington, DC — to continue support for research and public policy analysis regarding issues of particular importance to black Americans, and for a special series of roundtable discussions on persistent poverty and the underclass
600,000 NAACP Special Contribution Fund, New York, NY — to continue support for employment litigation and affirmative action activities and to help the fund initiate new fund-raising strategies
450,000 Population Council, New York, NY — to help launch a program designed to integrate women's health and population policies in developing countries
450,000 Urban Institute, Washington, DC — to continue support for its policy research on the urban underclass and dissemination of the findings to policy makers, community leaders, and the media
400,000 Social Science Research Council, New York, NY — to advance understanding of agricultural performance in Africa through collaborative interdisciplinary research between African and U.S. scholars

Education
1,160,000 McMaster University, Hamilton, Canada — toward continuing support for the training of INCLLEN fellows by the clinical epidemiology resource and training center
950,000 University of California, San Francisco, CA — to continue support for program to mobilize academic teaching and research resources in North America for the implementation of equitable, cost effective approaches to maintaining and improving public health
725,000 Social Science Research Council, New York, NY — to develop a cohort of young scholars concerned with the study of persistent poverty and the underclass
700,000 University of Quebec, Canada — for use by its Institut Armand Frappier in continuing the transfer to Colombia of new technology for manufacturing veterinary and human rabies vaccines
625,000 Connecticut College, New London, CT — to continue the program of Rockefeller Foundation fellowships for foreign language teachers in the high schools
555,000 Cornell University, Ithaca, NY — to identify the agricultural books and journals most important for developing-country libraries
395,000 Rockefeller University, New York, NY — for the operation of the foundation's archives at the Rockefeller Archive Center

International
700,000 International Council for Research in Agroforestry, Nairobi, Kenya — to strengthen capacity for conducting, in collaboration with national scientists of Kenya and Malawi, farm evaluation of agroforestry practices that can help offset erosion and fertility exhaustion of soils in the two countries
700,000 World Health Organization, Geneva, Switzerland — to enable monitoring of health, safety, and acceptability issues of the NORTPLANT contraceptive method as it comes into widespread use in developing countries
500,000 China National Center for Biotechnology Development, Beijing, China — in support of a comprehensive Chinese research network on rice biotechnology
450,000 Programa Latinoamericano de Capacitacion e Investigacion en Reproduccion Humana, Mexico City, Mexico — for its research and training program for Latin American scientists interested in the field of human reproduction
380,000 Catholic University of Chile, Santiago, Chile — for a research and training program organized by its reproductive and developmental biology unit and Laboratory of endocrinology
350,000 World Health Organization, Geneva, Switzerland — to continue support for a special program aimed at expediting basic and developmental research on vaccines against selected Third World diseases
350,000 Coledo de Mexico, Mexico City, Mexico — to continue support for the recently formed doctoral program at the center for demographic and urban development
350,000 African Economic Research Consortium Program, Nairobi, Kenya — to continue participation in a consortium of donors established to improve macroeconomic policy research and training in sub-Saharan Africa
300,000 International Center for Maize and Wheat Improvement, Mexico City, Mexico — to help maize researchers in Malawi take into account the economic forces affecting production of the crop as they set research priorities for maize improvement
300,000 International Centre for Insect Physiology and Ecology, Nairobi, Kenya — to continue support for its social science interface research unit
300,000 World Health Organization, Geneva, Switzerland — to continue a program to reduce the rate of maternal mortality in developing countries
300,000 Federal University of Minas Gerais, Belo Horizonte, Brazil — to strengthen the graduate training and research program on population and development at the center for regional planning and development
250,000 World Health Organization, Geneva, Switzerland — to continue support for applied research programs focused on immunostimulants and better vaccine delivery systems
Mann (Ted) Foundation

CONTACT
Esther Bergman
Secretary-Treasurer
Ted Mann Foundation
704 Hennepin Avenue, Room 202
Minneapolis, Minnesota 55403
(612) 333-2529

FINANCIAL SUMMARY
Recent Giving: $2,000,000 (fiscal 1990 est.); $1,170,914 (fiscal 1989); $863,686 (fiscal 1988); $575,942 (fiscal 1987)
Assets: $44,000,000 (fiscal 1990 est.); $43,396,049 (fiscal year ending November 30, 1989); $22,627,290 (fiscal 1988); $20,137,461 (fiscal 1987)
Gifts Received: $7,432,885 (fiscal 1989); $920,000 (fiscal 1988); $16,980,899 (fiscal 1987)
Fiscal Note: The foundation received gifts from Ted Mann, its president.

CONTRIBUTIONS SUMMARY
Type of Foundation: general purpose
Major Priority: social services, education, health, and the arts
Geographic Distribution: no geographic restrictions
Grant Types: general support

DONOR INFORMATION
The foundation was established in 1985, with funds contributed by Ted Mann, the foundation’s president.

FOUNDATION PHILOSOPHY
The foundation gives to broad purposes, with emphasis on educational organizations, health care, and youth welfare.

CONTRIBUTIONS ANALYSIS
In fiscal 1989, social services received about 48% of giving. The Jewish Federation Council of Greater Los Angeles was the principal recipient; youth-related causes were also a priority. Education received about 30% of funding, with emphasis on higher education. Health received 17%, while educational institutions and organizations in Israel received 3%. The remainder of funding supported civic organizations, a temple, and a music center.

TYPICAL RECIPIENTS
Arts & Humanities: cinema and music
Education: arts education and colleges & universities
Health: hospitals, medical research, pediatric health, and single disease health associations
International: foreign educational institutions and international organizations
Religion: religious organizations and synagogues
Social Services: child welfare, community service organizations, religious welfare, united funds, and youth organizations
APPLICATION AND REVIEW PROCEDURES

Initial Contact: Grant requests and fellowship applications should be addressed to the director of the relevant program or to the foundation's secretary. To apply for a Bellagio residency or to use the conference facilities, write to the Bellagio Center Office, c/o Rockefeller Foundation, 1133 Avenue of the Americas, New York, NY 10036.

Include Information On: No special form is required for grant applications. They should, however, include a description of the proposed project or fellowship activity with clearly stated plans and objectives; comprehensive plan for total funding of the project during and after the proposed grant period; listing of the applicant's qualifications and accomplishments; and, if applicable, a description of the institutional setting.

Deadlines: There are no stated deadlines for submitting applications.

Review Process: The board of trustees, which meets in April, June, September, and December, sets program guidelines and financial review processes.

Include Information On: No special form is required for grant applications. They should, however, include a description of the proposed project or fellowship activity with clearly stated plans and objectives; comprehensive plan for total funding of the project during and after the proposed grant period; listing of the applicant's qualifications and accomplishments; and, if applicable, a description of the institutional setting.

Note: The foundation does not give or lend money for personal aid to individuals; appraise or subsidize cures or inventions; contribute to the establishment of local hospitals, churches, schools, libraries, or welfare agencies, or to their building or operating funds; finance any project involving private profit; support efforts to influence legisla-
Republican governor since reconstruction, as well as a successful and a grandson of John D. Rockefeller. He was Arkansas' first

Winthrop Rockefeller was governor of Arkansas from 1966 to 1970 after.

The Winthrop Rockefeller Foundation is successor to the Rockwin Fund, which was established by Winthrop Rockefeller to support his innovative purposes, and a foundation was established shortly there-

The foundation has chosen to focus on strengthening local economies; providing access to capital, management, and technical assistance for small businesses; strengthening agriculture; developing leadership; supporting organizations that can improve the economic status of Arkansas; and improving the economic status of women and minorities.

Enhancing the effectiveness of citizens and the institutions serving them is viewed as a method for improving the quality of life in Arkansas. In the area of civic affairs, the foundation supports efforts to improve the effectiveness of government and private service providers; to focus attention on and discussion over public policy issues; to identify and develop leaders at the state, local, and institutional levels; to encourage prudent long-range conservation; and to improve civic opportunities for minority and disadvantaged citizens. Improving the quality of education is another major focus. The foundation has made a long-term commitment to eliminate educational barriers by supporting efforts to restructure schools, encourage parental involvement, increase minority participation, and identify issues and problems. The foundation also supports organizations promoting literacy.

The foundation achieves its charitable purposes through several types of support. Major grants are made primarily in the areas of economic development, civic affairs, and education. Community incentive grants of up to $10,000 are available for special assistance to community-based organizations for general operating support, construction funds, or equipment purchases. To be eligible for a community incentive grant, an organization must serve a minority or disadvantaged population, and must not be a previous grantee of the foundation. Mini-grants of up to $2,000 are made at the discretion of the foundation president for technical assistance, organizational development, or program planning. Such grants also are made to educators. The foundation may directly invest its capital in projects related to its goals. These investments can be in the form of low-interest loans, equity, or other financing mechanisms.

The foundation often develops initiatives to address an area of concern, particularly in education, and supports or initiates in-house public policy projects focusing on important issues in economic development, civic affairs, or education.

In 1989, approximately 51% of the foundation's funding went to educational concerns, while civic and public affairs organizations received 41%. Social service programs received about 5%, and the arts about 2%.

The Winthrop Rockefeller Foundation is successor to the Rockwin Fund, which was established by Winthrop Rockefeller to support his charitable interests during his lifetime. Upon his death in 1973, Mr. Rockefeller bequeathed money to a charitable trust to be used for innovative purposes, and a foundation was established shortly thereafter.

Winthrop Rockefeller was governor of Arkansas from 1966 to 1970 and a grandson of John D. Rockefeller. He was Arkansas' first Republican governor since reconstruction, as well as a successful cattle rancher and businessman. He was dedicated to improving educational and economic opportunities in Arkansas, and to improving relations among races.

The underlying goal of the Winthrop Rockefeller Foundation is to improve the quality of life in Arkansas by creating an environment that makes development and improvement possible. Improving the economic conditions of Arkansas will come from creating opportunity within the state. To support economic development, the foundation has chosen to focus on strengthening local economies; providing access to capital, management, and technical assistance for small businesses; strengthening agriculture; developing leadership; supporting organizations that can improve the economic status of Arkansas; and improving the economic status of women and minorities.

Enhancing the effectiveness of citizens and the institutions serving them is viewed as a method for improving the quality of life in Arkansas. In the area of civic affairs, the foundation supports efforts to improve the effectiveness of government and private service providers; to focus attention on and discussion over public policy issues; to identify and develop leaders at the state, local, and institutional levels; to encourage prudent long-range conservation; and to improve civic opportunities for minority and disadvantaged citizens. Improving the quality of education is another major focus. The foundation has made a long-term commitment to eliminate educational barriers by supporting efforts to restructure schools, encourage parental involvement, increase minority participation, and identify issues and problems. The foundation also supports organizations promoting literacy.

The foundation achieves its charitable purposes through several types of support. Major grants are made primarily in the areas of economic development, civic affairs, and education. Community incentive grants of up to $10,000 are available for special assistance to community-based organizations for general operating support, construction funds, or equipment purchases. To be eligible for a community incentive grant, an organization must serve a minority or disadvantaged population, and must not be a previous grantee of the foundation. Mini-grants of up to $2,000 are made at the discretion of the foundation president for technical assistance, organizational development, or program planning. Such grants also are made to educators. The foundation may directly invest its capital in projects related to its goals. These investments can be in the form of low-interest loans, equity, or other financing mechanisms.

The foundation often develops initiatives to address an area of concern, particularly in education, and supports or initiates in-house public policy projects focusing on important issues in economic development, civic affairs, or education.

In 1989, approximately 51% of the foundation's funding went to educational concerns, while civic and public affairs organizations received 41%. Social service programs received about 5%, and the arts about 2%.

TYPICAL RECIPIENTS

Arts & Humanities: literary arts and music
Civic & Public Affairs: better government, business/free enterprise, economic development, economics, environmental affairs, ethnic/minority organizations, housing, nonprofit management, public policy, rural affairs, and urban & community affairs
Education: agricultural education, arts education, colleges & universities, education administration, education associations, elementary education, legal education, liberal arts education, literacy, minority education, public education (precollege), and science/technology education

© 1991 The Taft Group
RECENT GRANTS

Civic & Public Affairs
1991

4,087,131 National Academy of Sciences, Washington, DC — support for long-term program development to improve policymaking in health, agriculture, and education

4,000,000 Industrial Technology Institute, Ann Arbor, MI — to promote high technology development through joint efforts by state government, industry, labor, state universities, and private foundations

3,500,000 Michigan Biotechnology Institute, Lansing, MI — link university research in molecular biology with agricultural and forestry applications to improve Michigan’s economy and create jobs

Education

2,306,255 Tuskegee University, AL — to implement new programs and instructional approaches, and to renovate and expand facilities

1,973,143 Michigan State University, East Lansing, MI — expansion and renovation of the Kellogg center for continuing education

1,453,130 Agricultural School of the Humid Tropical Region, San Jose, Costa Rica — providing training to agriculturalists

1,000,000 University of Michigan, Ann Arbor, MI — improve education and scholastic excellence by helping the University bolster interdisciplinary scholarly activities

837,791 National Council of State Boards of Nursing, Chicago, IL — introduction of computer-based clinical simulation test to assure clinical competence

818,679 California State University, Long Beach, CA — expand opportunities for adult learners from applied community college programs through reentry into four-year institutions of higher education

770,950 Syracuse University, Syracuse, NY — making adult education library and archival collections more accessible

722,000 Junior Achievement, Colorado Springs, CO — to increase rural youth’s knowledge of America’s economic system

717,263 Eastern Michigan University, Ypsilanti, MI — to improve preschool education for disadvantaged children, involving teachers and parents in five Michigan school districts

651,220 Quest International, Granville, OH — to implement a model to help school staffs create a more positive school environment

576,852 Education Development Center, Newton, MA — improve professional health teams’ decision-making capacity regarding cessation of treatment for terminally ill patients and field test results

Health

525,000 Total Action Against Poverty in Roanoke Valley, VA — coordinating community services and strengthening the family environment to improve health care for children of low-income families

489,250 University of Minnesota, St. Paul, MN — improve people’s nutritional status by developing educational programs in human nutrition

477,138 National League for Nursing, New York, NY — enhance accreditation standards for professional home health care providers

424,983 West Virginia University, Morgantown, WV — improve the health of women, children, and adolescents by linking the resources of state government, university, and local communities in a unified approach

416,832 Mountain Area Health Education Foundation, Chapel Hill, NC — provide a community-based health care network for rural communities

404,550 Hospital Research and Educational Trust, Chicago, IL — implementation of a community-oriented primary care model at rural sights

384,800 Greater Tampa Urban League, FL — to provide comprehensive health and social services and culturally relevant health education to urban, black, adolescent males

383,950 National Black Women’s Health Project, Atlanta, GA — provide coordinate health and social services, education, and career opportunities to black, adolescent women

International

624,644 National Association of Partners of the Americas, Washington, DC — develop effective citizen leaders for grass-roots, community-level work in international development

482,800 National Association of Partners of the Americas, Washington, DC — develop effective citizen leaders for grass-roots, community-level work in inter-American development

475,000 International Fertilizer Development Center, Muscle Shoals, AL — to increase the supply of wholesome food for Africans by assisting with the establishment of an African fertilizer development center

439,532 School of Public Health of Mexico, Mexico City, Mexico — to establish educational programs for administrators of community-based health care services

416,300 Foundation for Agricultural Development, Quito, Ecuador — to introduce new agricultural production and processing technologies

Social Services

1,340,000 Council of Michigan Foundations, Grand Haven, MI — help community foundations involve youth in activities that encourage philanthropy and volunteerism

Kemper (Enid and Crosby) Foundation

CONTACT
Melanie Alm, Secretary
Enid and Crosby Kemper Foundation
P.O. Box 419226
Kansas City, Missouri 64141
(816) 556-7722

FINANCIAL SUMMARY
Gifts Received: $5,000 (1987); $345,004 (1985)

CONTRIBUTIONS SUMMARY
Type of Foundation: family
Major Priority: educational and cultural programs
Geographic Distribution: primarily metropolitan Kansas City, MO
Grant Types: general support

DONOR INFORMATION
The Enid and Crosby Kemper Foundation was established in Missouri in 1972, with funds donated by Enid J. Kemper and R. Crosby Kemper, Sr. Mr. Kemper is also the donor of the R. C. Kemper Charitable Trust and Foundation. The Kempers are descendants of William T. Kemper (d. 1938), who was chairman of Commerce Trust Company. Today, grandsons James M. Kemper, Jr. is chairman of Commerce Bancshares and R. Crosby Kemper is chairman of United Missouri Bancshares.
OFFICERS AND DIRECTORS
Hugh O. Maclellan, Sr.: chmn, trust B Chattanooga TN 1912 ED
Comell Univ 1935 CURR EMPL chmn fin & exec commns, dir: Provid-
dent Life & Accident Ins Co CORP AFFIL dir: Am Natl Bank & Trust
Co NONPR AFFIL mem exec commn: Future Chattanooga Devel Corp
PHIL AFFIL chmn: Maclellan (RI) Charitable Trust
Hugh O. Maclellan, Jr.: pres, treas, trust
Kathrina H. Maclellan: vp, trust PHIL AFFIL pres, treas: Maclellan
(Robert L. & Kathrina H) Fdn
Thomas H. McCollie, III: secy
Frank A. Brock: trust PHIL AFFIL dir: Community Fdn Greater
Chattanooga
G. Richard Hostetter: trust
Dudley Porter, Jr.: trust B Paris TN 1915 ED Murray St Univ 1935;
Cumberland Univ LLB 1936 CURR EMPL coun: Chambliss Bahner
Crutchfield Gaston & Irvine NONPR AFFIL trust: Hermitage Assn;
mem: Am Bar Assn, TN Bar Assn, Chattanooga Bar Assn, Assn Life
Ins Coms, Newcomen Soc, Nature Conservancy PHIL AFFIL trust: Maclellan (RI) Charitable Trust

APPLICATION AND REVIEW PROCEDURES
Initial Contact: Proposal letters not more than two pages in length
should be sent to the foundation’s chairman.
Include Information On: Proposals should include a recent financial
statement of audit report, and a list of board members.
Note: The foundation does not make grants to individuals.

GRANTS ANALYSIS
Total Grants: $5,476,092*
Number of Grants: 105
Average Grant: $52,153
Highest Grant: $439,021
Typical Range: $25,000 to $123,000*
Disclosure Period: 1988
Note: Total grants figure reflects total direct and indirect cash
contributions. The foundation also made a non-cash contribution of
$3,645,667 to the Helen M. Tipton Charitable Trust, bringing total
contributions. The foundation also made a non-cash contribution of
$3,645,667 to the Helen M. Tipton Charitable Trust, bringing total
contributions to $9,121,759. A number of minor grants (under $500)
were made for consulting services. The figure above reflects the range
of grants directed to support institutions and organizations.

RECENT GRANTS
Civic & Public Affairs
100,000 River City Company, Chattanooga, TN — operating
funds
Education
300,000 McCollie School, Chattanooga, TN — operating funds
187,000 English Language Institute, San Dimas, CA —
china-teacher campaign
150,000 Reformed Theological Seminary, Jackson, MS —
world mission program
150,000 Trinity Episcopal School for Ministry, Ambridge, PA
— capital fund drive
84,483 International Students, Colorado Springs, CO —
operation friendship
75,000 Eastern European Seminary, Dallas, TX — translating
and editing expense
International
193,171 Partners International, San Jose, CA — purchase of
land
Religion
400,000 Inter-Varsity Christian Fellowship, Madison, WI —
operating funds
200,000 Lausanne Committee, Charlotte, NC — consulting
services world evangelization
bears of generalist physicians committed to the practice of primary health care; advance understanding of the real costs of medical education as a basis for developing a national method of directly financing an integrated system of medical education; strengthen the education of physicians by instituting performance-based evaluations of students’ and residents’ competencies throughout their training; and promote the requirement of a period of community service for all medical school graduates as a condition for licensure.

**CONTRIBUTIONS ANALYSIS**

In fiscal 1989, 51% of all funds went to higher educational institutions for medical, premedical, scientific, technological, and health education. Health institutions and hospitals received 39% of giving, scientific organizations, 8%, and civic groups, 2%. In terms of the foundation’s main programs, minorities in medicine received 33% of grant funds, medical and premedical education received 29%, and biomedical education, 7%. The remainder was allocated to health care policy issues and to various other programs.

**TYPICAL RECIPIENTS**

**Education:** colleges & universities, health & physical education, medical education, minority education, and science/technology education

**Health:** health care cost containment, health organizations, hospitals, medical training, nursing services, and outpatient health care delivery

**International:** international health care

**Science:** science exhibits & fairs and scientific institutes

**OFFICERS AND DIRECTORS**

Clarence F. Michalski: chmn, dir, chmn exec comm, chmn fin comm


OFFICERS AND DIRECTORS

Clarence F. Michalski: chmn, dir, chmn exec comm, chmn fin comm


Louis S. Auchincloss: dir, mem exec comm B Lawrence NY 1917 ED Yale Univ 1939; Univ VA LLB 1941 NONPR AFFIL pres: Museum City NY; mem: Natl Inst Arts & Letters, Assn Bar City NY


Thomas Harry Melkile, Jr., MD: pres, mem fin comm, mem exec comm B Troy PA ED Cornell Univ AB 1951, MD 1954 NONPR AFFIL mem: Am Physiology Soc, Soc Neurosciences

Maxine E. Bleich: vp

Rina Forlini: secy, treas


Harold Amos, PhD: dir CURR EMPL chmn (dir med sciences), prof (microbiology, molecular genetics): Harvard Medical Sch


S. Parker Gilbert: dir, mem fin comm CURR EMPL chmn: Morgan Stanley Group


John Jay Iselin: dir


Walter N. Rothschild, Jr.: dir, mem exec comm, mem fin comm, mem audit comm

Nelson Macy, Jr.: hon dir

Lewis Thomas, MD: hon dir B Flushing NY 1913 ED Princeton Univ BS 1933; Harvard Univ Med 1937 NONPR AFFIL pres emeritus: Menn Sloan-Kettering Cancer Ctr PHIL AFFIL dir: Lounsbery (Richard) Fdn; dir: Diamond (Aaron) Fdn

**APPLICATION AND REVIEW PROCEDURES**

Initial Contact: The foundation suggests sending a preliminary letter of inquiry. Correspondence should be addressed to the president. There are no special application forms.

Include Information On: Grant proposals should include the name of the sponsoring organization or institution, description of the project, names and qualifications of the persons in charge of the project, expected costs and duration of the project (including an itemized
Disclosure Period: 1988

RECENT GRANTS

Civic & Public Affairs
20,000 German Society of the City of New York, NY — for its social service program
20,000 Liederkranz Foundation, New York, NY — for its musical programs

Education
150,000 University of Kansas, Lawrence, KS — for the Max Kade language center
52,500 Indiana University, Institute of German Studies, Bloomington, IN — toward support of its graduate training and teaching program
32,850 University of California, San Francisco, CA — research and training in the field of cardiology, focusing on the studies of pathophysiology of alcoholic cardiomyopathy
32,850 University of California, Berkeley, CA — research and training in the field of structural mechanics, focusing on the studies of computational methods for non-linear large deformation problems
32,850 California Institute of Technology, Pasadena, CA — research and training in the field of organometallic chemistry
31,525 University of California Regents, Los Angeles, CA — research and training in the field of gastrointestinal research
31,525 University of California, Berkeley, CA — research and training in the field of biochemistry
31,400 Massachusetts Institute of Technology, Whitehead Institute for Biomedical Research, Cambridge, MA — research and training in the field of developmental biology
31,400 University of Colorado Foundation, Boulder, CO — research and training in the field of geology
31,150 Harvard University, Cambridge, MA — research and training in the field of atomic and molecular physics
30,700 Washington University School of Medicine, St. Louis, MO — research and training in the field of neurobiology
30,200 University of California, San Diego, CA — research and training in the field of marine biology
30,100 Foundation for Advanced Education in the Sciences, Bethesda, MD — research and training in the field of molecular oncology
29,800 University of California, San Francisco, CA — research and training in the field of theoretical and experimental neuroscience
25,900 University of Maryland, Baltimore, MD — research and training in the field of biochemistry, focusing on the studies of neurochemical and pharmacological studies of central seizures
25,900 Columbia University, New York, NY — research and training in the field of statistical human genetics
20,000 Middlebury College, VT — in support of the distinguished professorship program of the graduate language semester
20,000 German-American School Association, New York, NY
15,000 University of Pennsylvania, Philadelphia, PA — fellowship program for graduate teaching assistants
12,000 Franklin and Marshall College, Lancaster, PA — in support of the visiting faculty exchange professorship program in the field of foreign languages and literature
10,000 University of Wisconsin Foundation, Madison, WI

Health
14,400 Harvard University, Brigham and Women’s Hospital, Boston, MA — research and training in the field of cardiovascular research
10,100 Massachusetts General Hospital, Boston, MA — research and training in the field of photomedicine

Kaiser (Henry J.) Family Foundation

CONTACT
Karen Sparks
Program Officer and Grants Manager
Henry J. Kaiser Family Foundation
2400 Sand Hill Road
Menlo Park, California 94025
(415) 854-9400

FINANCIAL SUMMARY
Recent Giving: $13,022,465 (1988); $11,880,014 (1987); $10,021,212 (1986)

CONTRIBUTIONS SUMMARY
Type of Foundation: special purpose
Major Priority: medicine and health care
Geographic Distribution: national; some emphasis on California
Grant Types: conference/seminar, department, fellowship, general support, multiyear/continuing support, professorship, project, research, scholarship, and seed money

DONOR INFORMATION
The foundation was established in 1948 by industrialist Henry J. Kaiser (1882-1967) and his wife, Bess. Mr. Kaiser ran a successful construction business, and after World War II founded Kaiser Industries, which had interests in aluminum, steel, cement, gypsum, and chemicals. Mr. Kaiser had a lifelong interest in medicine and the improvement of health care for all Americans. He established a prepaid health care program for his employees in 1938, which has grown to become the Kaiser Permanente Medical Care Program.

FOUNDATION PHILOSOPHY
The foundation, following the example of its founder, works to improve health care for all Americans. In the past, the foundation’s emphasis has been on the concept of comprehensive prepaid health care, general internal medicine training, health professions education, and research and analysis in health care policy. Recently, the foundation has supported activities aimed at improving the results of health care and enhancing patients’ functioning in their everyday lives. It also has established the Health Promotion Program, to alert people to preventable illnesses and premature death. It also encourages cost-effectiveness in the provision of health care services through the promotion of health maintenance organizations (HMOs). The foundation is funding development and health projects in South Africa. It also funds health and health-related services in the five-county San Francisco Bay Area.

CONTRIBUTIONS ANALYSIS
In 1988, the foundation gave 48% of its funding to education, most of which went to medical schools. Health received 31%, with a focus on hospitals and medical research. Social services received 9%. Civic and public affairs received 7%, and international organizations received the remainder.
OFFICERS AND DIRECTORS

Edgar Foxburgh Kaiser, Jr.: chmn, trust, fdr grandson B Portland OR 1942 ED Stanford Univ BA 1965; Harvard Univ MBA 1967 CURR EMPL chmn bd dirs, pres, ceo: Kaiser Resources CORP AFFIL dir: Bell Canada Enterprises Devel Corp, Canadair Ltd, BC Place Ltd NONPR AFFIL trust: Vancouver Gen Hosp, CA Inst Tech; mem bd dirs: Sadat Peace Fdn, Diefenbaker Meml Fdn, Univ CO Fdn; chmn: Kaiser Substance Abuse Fdn; fdr, mem adv cmm: Denver Broncos Youth Fdn; Man in Motion World Tour; mem cooperation cmm: Canada-Korea Bus Counc; mem Canadian cmm: Pacific Basin Econ Counc; mem: Bus Counc Natl Issues, SRI Intl Counc; mem bd gvs: Jr Achievement Britsh Columbia, Bus Counc British Columbia; mem inl adv cmm: Gov Dummer Academy, Salt Inst

Drew Altman: pres
Alvin Richard Tarlov, MD: trust B Norwalk CT 1929 ED Dartmouth Coll BA 1951; Univ Chicago MD 1956 NONPR AFFIL mem: Am Coll Physicians, Inst Medicine Natl Academy Sciences

Douglas A. Fraser: trust CURR EMPL prof labor studies: Wayne St Univ

Barbara C. Jordan: trust B Houston TX 1936 ED TX Southern Univ BA 1956; Boston Univ JD 1959 CURR EMPL Lyndon B Johnson Centennial prof natl policy: Lyndon B Johnson Sch Publ Affairs Univ TX (Austin) NONPR AFFIL mem: UN Panel Multinational Corps South Africa & Namibia, Am Bar Assn, TX Bar Assn, MA Bar Assn, Houston Bar Assn, NAACP

Henry M. Kaiser: trust CURR EMPL dir: Harvest Ventures
Kim J. Kaiser: trust
Edwin H. Morgens: trust CURR EMPL chmn: Morgens Waterfall Vintadiads & Co
Joan E. Morgenthau, MD: trust CURR EMPL dir health services: Smith Coll; prof lecturer: Mt Sinai Sch Medicine City Univ NY

APPLICATION AND REVIEW PROCEDURES

Initial Contact: There is no official application form. Applicants should submit a preliminary letter.

Include Information On: Include a brief outline of the plan and objectives of the project, pertinent information about the sponsoring organization and the individuals to be involved, budget estimate, and a copy of the tax-exempt status letter.

Deadlines: Applicants may submit requests any time.

Review Process: The foundation replies as promptly as possible concerning the status of all requests.

Note: The foundation does not support the following: ongoing general operating expenses; indirect costs; construction, renovation, or equipment purchases; basic biomedical research; individuals; funds for legislation or activities that seek to influence the legislative process; international projects; and capital campaigns, annual appeals, or other fund-raising events.

Foundation Publications: annual report

GRANTS ANALYSIS

Total Grants: $13,022,465*
Number of Grants: 152*
Average Grant: $85,674*
Highest Grant: $1,618,338
Typical Range: $10,000 to $100,000
Disclosure Period: 1988

Note: Figures do not include $89,129 in matching gifts.

RECENT GRANTS

Civic & Public Affairs

390,000 Rand Corporation, Santa Monica, CA — for supplementary support for the Medical Outcomes Study
187,500 InterStudy, Excelsior, MN — continued support for the Health Systems Demographic Center
50,000 Northern California Grantmakers, San Francisco, CA — for AIDS Prevention and Community Care Initiative

Education

1,618,338 Stanford University, Stanford, CA — for Health Promotion Resource Center
879,554 University of Washington, Seattle, WA — for evaluation of the Foundation's Health Promotion Program in the West
300,000 National Medical Fellowships, New York, NY — for Kaiser Merit Awards and need-based scholarships for minority medical students
225,000 Morehouse School of Medicine, Atlanta, GA — for development of a Health Promotion Resource Center
221,062 University of Washington, Seattle, WA — for evaluation of the Planetree Model Hospital Project
150,000 San Diego State University, San Diego, CA — for Project Salsa
30,000 University of California (San Francisco), Institute for Health and Aging, San Francisco, CA — for development of a long-term care policy agenda for California

Health

530,099 National Academy of Sciences, Washington, DC — for guidelines on diet and health: implications and strategies for implementation
225,000 Advocacy Institute, Washington, DC — for smoking Control Advocacy Resource Center
209,603 Preventive Medicine Research Institute, Sausalito, CA — to study the effects of lifestyle changes in treating coronary heart disease
185,464 Boston Department of Health and Hospitals, Boston, MA — for the evaluation of the Violence Prevention Project
Grants are made primarily in three program areas. Within the area categorized as land use, the fund is concerned with conservation; historic preservation; improving neighborhoods; enhancing public parks, farmlands, and open space; and efficient handling of nuclear weapons. Within the area termed civil liberties and human needs, the fund supports efforts to promote civil rights, ensure legal protection, provide basic public services, and promote human rights. The trust also funds a variety of cultural interests in its third program area.

FOUNDATION PHILOSOPHY

In recent years, the fund has become increasingly involved in national efforts to protect the environment, to plan and develop cities, and to champion civil liberties and human rights. The fund, however, continues to focus most of its support on local nonprofit organizations, community grassroots efforts, and social policy interests in New York City.

CONTRIBUTIONS ANALYSIS

In fiscal 1988, the fund gave 39% of its contributions to civic and public affairs. Its major concern was environmental affairs. The arts received 32%, with a focus on historic preservation and restoration, but also included grants to various music and arts groups. Social services received 17%, while education received 11% of the giving.

TYPICAL RECIPIENTS

Arts & Humanities: history/historic preservation, libraries, literary arts, museums/galleries, music, and opera
Civic & Public Affairs: civil rights, environmental affairs, First Amendment issues, international affairs, philanthropic organizations, rural affairs, urban & community affairs, and zoos/botanical gardens
Education: colleges & universities
Health: health organizations
Social Services: food/clothing distribution, legal aid, and shelters/homelessness

OFFICERS AND DIRECTORS

Elizabeth K. Fonseca: vp, trust PHIL AFFIL vp, dir: Kaplan (Henry C) Fdn
Richard D. Kaplan: vp, trust
Mary E. Kaplan: vp, trust
John Matthew Davidson: secy, trust
Suzanne Davis: exec dir
Maurice Austin: trust PHIL AFFIL dir: Kaplan (Henry C) Fdn
Elizabeth Davidson: trust
Bradford Davidson: trust
Peter W. Davidson: trust
Bruno Fonseca: trust
Caio Fonseca: trust
Isabel Fonseca: trust
Quina Fonseca: trust

© 1991 The Taft Group
Foundation Publications: annual report, statement of policies & procedures

GRANTS ANALYSIS
Total Grants: $35,286,000
Number of Grants: 58
Average Grant: $608,379
Highest Grant: N.A.
Typical Range: $100,000 to $750,000
Disclosure Period: 1989

RECENT GRANTS
Arts & Humanities
Los Angeles Chamber Orchestra Society, CA — continued support of educational activities
Orange County Performing Arts Center, Costa Mesa, CA — for the endowment fund
Santa Barbara Museum of Natural History, CA — support for expanded education programs

Education
Bucknell University, Lewisburg, PA — a gas chromatograph/mass spectrometer for the chemistry department
Fairfield University, CT — equipment for an applied physics program
Georgia Institute of Technology, Atlanta, GA — instruments for a manufacturing research center
Ithaca College, NY — support for a magnetic resonance spectrometer for the chemistry department
Johns Hopkins University, Baltimore, MD — equipment for a biophysical research on macro-molecular assemblies
Michigan State University, East Lansing, MI — instruments for an environmental laboratory
Northwestern University, Evanston, IL — support for a nuclear magnetic resonance spectrometer for biology research
Pennsylvania State University, University Park, PA — establishing a laboratory for fluid dynamics research in the mathematics department
Texas Christian University, Ft. Worth, TX — a scanning electron microscope and other equipment for biology and geology departments
Tufts University, Medford, MA — magnetometry equipment for the department of physics and astronomy
University of Arizona, Tucson, AZ — an electron microprobe and a mass spectrometer for geosciences research
University of California, Los Angeles, CA — an ion microprobe for research in isotope geochemistry
University of Colorado, Boulder, CO — equipment for the optoelectronic computing systems center
University of Texas, Austin, TX — a magnetic resonance imaging spectrometer for oil recovery research in the petroleum engineering department
Villanova University, Villanova, PA — equipment for an electron microscopy laboratory
Wellesley College, MA — support for an astronomy consortium of eight undergraduate colleges
Wesleyan University, Middletown, CT — equipment for several science departments
Massachusetts Institute of Technology, Cambridge, MA — further support for the existing W. M. Keck fellowship fund for graduate students in the biology department
Amherst College, MA — for developing an undergraduate curriculum in law, jurisprudence, and social thought
Loyola High School, Los Angeles, CA — for the endowment fund

Health
Harvard University, Cambridge, MA — equipment and operations support for the department of biological chemistry and molecular pharmacology
Hereditary Disease Foundation, Santa Monica, CA — continued support for collection of a reference pedigree for mapping the human genome
Yale University, New Haven, CT — toward construction of the new center for molecular medicine
Huntington Memorial Hospital, Pasadena, CA — toward a new radiology wing

Social Services
Children's Bureau of Los Angeles, CA — toward the purchase of a new headquarters building
Goodwill Industries of Southern California, Los Angeles, CA — purchase of six trucks for pick-up of donated goods
Los Angeles Regional Foodbank, CA — support for construction of new warehouse

Kellogg (W. K.) Foundation

CONTACT
Nancy A. Sims
Executive Assistant, Programming
W. K. Kellogg Foundation
400 North Avenue
Battle Creek, Michigan 49017-3398
(616) 968-1611

FINANCIAL SUMMARY
Recent Giving: $106,948,094 (fiscal 1989); $107,106,934 (fiscal 1988), $88,979,208 (fiscal 1987)
Assets: $4,201,240,259 (fiscal year ending August 31, 1989); $3,162,546,321 (fiscal 1988); $3,581,473,230 (fiscal 1987)
Gifts Received: $92,614,094 (fiscal 1987)
Fiscal Note: Gifts received are primarily from the W. K. Kellogg Foundation Trust.

CONTRIBUTIONS SUMMARY
Type of Foundation: general purpose
Major Priority: community-based, problem-focused health services; wholesome food supply; leadership; youth; and economic development in Michigan
Geographic Distribution: international (primarily Latin America, the Caribbean, and Southern Africa) and national
Grant Types: fellowship, matching, multiyear/continuing support, project, and seed money

DONOR INFORMATION
The foundation was established in Battle Creek, Michigan, in 1930, by W. K. Kellogg. Mr. Kellogg was a successful businessman who pioneered the ready-to-eat breakfast cereal industry with the Kellogg Company. Mr. Kellogg was active in philanthropy until his death in 1951 at the age of 91.

FOUNDATION PHILOSOPHY
The foundation follows Mr. Kellogg's commitment to the application of knowledge to the problems of people. The foundation's grant making is concentrated in the following areas: community-based, problem-focused health services; wholesome food supply; leadership; youth; and economic development in Michigan. The foundation also is conducting limited grant making in the following areas to determine whether these themes might become major parts of programming: rural America, water resources, management of information systems,

© 1991 The Taft Group
philanthropy and volunteerism, science education, and community colleges.

CONTRIBUTIONS ANALYSIS

In 1989, based on the foundation's designated program areas, about 28% of funding went to community-based, problem-focused health services and to other health issues. About 12% each went to adult continuing education and youth. An additional 11% went to a special opportunity category, primarily supporting social services, civic organizations, and educational programs. The foundation states that grants in this area were made in response to "special programming opportunities" and that it is unlikely that such funding would be given otherwise. Another 11% of foundation funding went to emergent programming, primarily for Rural America Projects and for Philanthropy and Volunteerism. The remaining funds were given to Wholesome Food Supply, 10%; leadership, 8%; and economic development in Michigan, 7%.

TYPICAL RECIPIENTS

Arts: libraries and museums/galleries
Civic & Public Affairs: economic development, municipalities, nonprofit management, public policy, rural affairs, urban & community affairs, and women's affairs
Education: agricultural education, arts education, business education, career/vocational education, colleges & universities, community & junior colleges, continuing education, economic education, education administration, education associations, education funds, elementary education, engineering education, faculty development, health & physical education, international exchange, international studies, journalism education, legal education, liberal arts education, literacy, medical education, minority education, preschool education, private education (precollege), public education (precollege), science/technology education, social sciences education, special education, and student aid
Health: emergency/ambulance services, geriatric health, health care cost containment, health funds, health organizations, hospices, hospitals, medical training, medical rehabilitation, medical research, mental health, nursing services, nutrition & health maintenance, outpatient health care delivery, pediatric health, public health, and single disease health associations
International: foreign educational institutions, international development/relief, international health care, and international organizations
Science: scientific institutes
Social Services: aged, animal protection, child welfare, community service organizations, delinquency & crime, disabled, employment/job training, family services, food/clothing distribution, recreation & athletics, religious welfare, united funds, volunteer services, and youth organizations

OFFICERS AND DIRECTORS

Russell George Mawby: chmn bd, ceo B Grand Rapids MI 1928 ED MI St Univ BS 1949, PhD 1959; Purdue Univ MS 1951 CORP AFFIL dir: Kellogg Co, JM Smucker Co NONPR AFFIL mem: Natl Research Counc, Comm Agricultural Ed Secondary Schs; trust: Arabian Horse Trust, Starr Commonwealth Schs, Fdn Ctr; chmn improving philanthropy & increasing philanthropy commns: Coun c Mi Fdns
Norman A. Brown: pres, chief programming off NONPR AFFIL pres bd trusts: Agricultural Coll Humid Tropical Region Costa Rica; bd mem: MI St Univ Fdn, Calhoun County Chapter Am Red Cross, Independent Sector, One To One Fdn
William W. Frltz: vp (fin)
Karen R. Hellenbeck: vp (admin)
Laura A. Davis: vp (corporate affairs)
Katherine L. Salgoen: asst vp (fin)
Shirley Dunlap Bowser: trust NONPR AFFIL trust: OH St Univ, OH St Univ Hosp; mem bd: OH Pickaway County Planning Comm, 4-H Fdn


Dorothy A. Johnson: trust NONPR AFFIL trust: Grand Valley St Univ Fdn, Presbyterian Fdn; pres: Coun MI Fdns; dir: Fdn Ctr
Robert L. Raun: trust NONPR AFFIL trust: Univ NE Fdn; dir: NE Futures; mem: NE Water Mgmt Bd, NE Econ Devel Comm
Howard F. Sims: trust CURR EMPL architect NONPR AFFIL trust: Oakland Univ (MI); dir: Detroit Econ Growth Corp, Fdns Soc Detroit Inst Arts, Un Fdn; mem exec bd: Detroit Area Coun Council Boys Scouts Am, Metro Youth Fdn

APPLICATION AND REVIEW PROCEDURES

Initial Contact: There are no formal grant application forms. Organizations should send a one- to two-page pre-proposal letter.
Include Information On: Pre-proposal letters must describe the problem and plan for solution including project objectives, operational procedures, time schedule, and the personnel and financial resources available and needed.
Deadline: There are no deadlines.
Review Process: Pre-proposal letters are given prompt consideration by the foundation. If priorities and resources permit consideration of the requested aid, the foundation will seek further information, including a more detailed proposal.
Note: The foundation does not make loans or provide grants for operational phases for established programs; capital facilities; equipment; conferences; publications, films, television, or radio programs, unless they are an integral part of the project; endowments or development campaigns; religious purposes: or to individuals, except for fellowships in specific areas of foundation programming. The foundation funds research only as part of a broader program of action to which assistance is provided, including investigation of the effects of the funded project's result.
Foundation Publications: annual report

GRANTS ANALYSIS

Total Grants: $107,106,934
Number of Grants: 781
Average Grant: $137,141
Highest Grant: $5,125,000
Typical Range: $10,000 to $200,000
Disclosure Period: fiscal year ending August 31, 1988

© 1991 The Taft Group
Johnson (Walter S.) Foundation

CONTACT
Donna Tenman
Executive Director
Walter S. Johnson Foundation
525 Middlefield Road, Suite 110
Menlo Park, California 94025
(415) 326-0485

FINANCIAL SUMMARY
Recent Giving: $2,400,000 (1990 est.); $2,183,760 (1989); $1,653,288 (1988); $2,927,424 (1987)
Assets: $52,000,000 (1990 est.); $52,649,032 (1989); $44,115,147 (1988); $44,551,283 (1987)

CONTRIBUTIONS SUMMARY
Type of Foundation: general purpose
Major Priority: education and social services
Geographic Distribution: northern California (San Francisco, San Mateo, Santa Clara, Alameda, and Contra Costa Counties) and Washoe County, NV
Grant Types: general support, multiyear/continuing support, project, research, and seed money

DONOR INFORMATION
Walter S. Johnson was born in Saginaw, Michigan, in 1884, and moved to California as a young boy. After graduating from law school at the University of California and working as an attorney, he entered the firm of Tarter, Webster & Johnson, a wholesale lumber business. He later formed the American Box Corporation, which eventually became the American Forest Products Company. In 1933, he helped form the Friden Calculating Machine Company. As a philanthropist, Mr. Johnson was active in a variety of charitable organizations, especially youth groups and schools.

FOUNDATION PHILOSOPHY
The foundation's giving program reflects the interests of Walter S. Johnson by focusing on education and social services. Working with social service agencies toward solving problems facing children, adolescents, and families is the primary foundation interest. The foundation gives highest priority to projects seeking to promote healthy social and emotional development, to prevent teenage pregnancy, to strengthen families, and to prevent drug and alcohol abuse.
APPLICATION AND REVIEW PROCEDURES

Initial Contact: Applicants should send a proposal to the fund's president.

Include Information On: Proposals should include the name of the sponsoring agency or institute, description of the project, names and qualifications of the persons responsible for the project, expected cost and duration, itemized budget, IRS tax-exempt determination letter, and a letter of endorsement from the sponsoring organization.

Review Process: Proposals are reviewed, evaluated, and selected for recommendation to the board of directors, which meets four times a year.

Note: Grants are made only to tax-exempt institutions or agencies. No grants are made to individuals. The fund does not consider requests for endowment funds, scholarships, fellowships, general support, operating expenses, or construction or renovation projects. It customarily does not support conferences, seminars, or symposia of other organizations. It also does not fund annual campaigns, deficit financing, dissertation research, or sabbatical leave; nor does it make loans or provide matching funds.

GRANTS ANALYSIS

Total Grants: $454,925
Number of Grants: N.Av.
Average Grant: N.Av.
Highest Grant: $20,000
Typical Range: $1,000 to $20,000
Disclosure Period: 1988

RECENT GRANTS

Civic & Public Affairs
15,000 Farmworker Legal Services of New York, Rochester, NY — to support an effort to focus public attention upon pesticide exposure, and to increase funding for migrant health services

Health
20,000 California Rural Legal Assistance Foundation, Women's Pesticide Information Project, Sacramento, CA — to support educational efforts regarding pesticide exposure of women
18,000 Columbia Basin Health Association, Othello, WA — to support an expanded effort in pesticide education, as coordinated with The Work Group on Pesticide Health and Safety
13,228 Agricultural Workers' Health Centers, Stockton, CA — to support pesticide education and outreach to farmworkers in California
5,000 Fair Share Research and Education Fund, Portland, OR — to support the Migrant Health Care/Pesticides Project

DONOR INFORMATION

The Ambrose Monell Foundation was established in 1952. Funds for its incorporation were donated by Mrs. Maude Monell Vetlesen. Ambrose Monell, who died in 1921, served as president of International Nickel Company.

FOUNDATION PHILOSOPHY

The foundation was set up to help improve “the physical, mental, and moral condition of humanity throughout the world.” It pursues this goal by supporting health, the arts, education, social services, science, and civic causes.

CONTRIBUTIONS ANALYSIS

In 1988, the foundation gave 32% of its funds to health organizations, and 21% to arts and humanities groups. Educational institutions received 20% of giving, while civic concerns accounted for 12%. Social services received 9% of funding, and the remainder supported the Monell Chemical Senses Center, a scientific organization.

TYPICAL RECIPIENTS

Arts & Humanities: arts centers, arts institutes, dance, libraries, museums/galleries, music, opera, performing arts, and public broadcasting
Civic & Public Affairs: environmental affairs, international affairs, law & justice, and public policy
Education: arts education, colleges & universities, community & junior colleges, economic education, education associations, education funds, health & physical education, medical education, minority education, private education (precollege), science/technology education, and special education
40,000 Environmental Support Center, New York, NY — seed money for a center to strengthen state environmental organizations by providing coordinated fund raising and organizational assistance

40,000 National Center for Fair and Open Testing, Center on Budget and Policy Priorities, Washington, DC — second payment of a two-year $45,000 grant for the Women’s Rights Project, which litigates to eliminate gender-based employment discrimination

30,000 Center for the Study of Public Policy, Boston, MA — to document the environmental, health, and financial cost of military pollution and to launch a media and grassroots campaign to reduce it

30,000 American Civil Liberties Union Foundation of Virginia, Richmond, VA — support for the F. Palmer Wever Voting Rights Fund, which identifies and challenges discriminatory voting systems in Virginia and other Southern states

30,000 American Civil Liberties Union Foundation, New York, NY — second payment of a two-year grant totalling $60,000 for the Women’s Rights Project, which litigates to eliminate gender-based employment discrimination

30,000 New Ways to Work, San Francisco, CA — funding for the Equiflex Project, which advocates for flexible part-time, temporary, and shared jobs with benefits, as an alternative to the growing number of unprotected part-time jobs

30,000 Center on Budget and Policy Priorities, Washington, DC — funding for its efforts towards analysis and advocacy on public policy issues affecting low-income Americans

30,000 Institute for Public Policy Advocacy, Washington, DC — general support for efforts to educate and advise activist groups in strategic public policy planning

30,000 Education Law Center, Newark, NJ — funding for litigation and advocacy to reform New Jersey’s school financing system

30,000 Food Research and Action Center, Washington, DC — assistance to the child nutrition and fuel for excellence campaigns, aimed at ensuring that all children receive adequate nutrition, and at expanding the school breakfast program

30,000 Multicultural Education, Training and Advocacy (META) Project, Somerville, MA — funding for a national survey of the impact of education reform initiatives on linguistic minority children

25,000 Jobs with Peace, Los Angeles, CA — first installment of a two-year grant totalling $45,000 for the “Child Care Not Warfare” campaign

25,000 Americans for Civic Participation, Washington, DC — funding for project VOTE!’s voter education and registration campaign, which aims to reverse the trend of decreasing citizen participation in the electoral process

25,000 Center for Women Policy Studies, Washington, DC — support for efforts to call attention to gender bias in the SAT and other standardized tests and to advocate for nonbiased alternatives

25,000 Alliance for Justice, Washington, DC — first installment of a two-year grant totalling $45,000 as general support for public interest advocacy on behalf of the nonprofit sector

25,000 Association for Union Democracy, Brooklyn, NY — support for the Women’s Project, which provides education and training for women workers and litigates on their behalf

25,000 Focus Project, Washington, DC — renewed support for OMB Watch, which monitors the initiatives of the Office of Management and Budget, and analyzes and disseminates its findings to nonprofit groups and the media in an effort to increase democratic participation in administrative governance

25,000 Public Citizen Foundation, Washington, DC — second payment of a two-year $50,000 grant for work of the Open Government Project, which uses the Freedom of Information Act to help maintain citizen access to public information

25,000 Transactional Records Access Clearinghouse, Syracuse, NY — support for its use of the Freedom of Information Act and advanced computer technology to collect, analyze, and disseminate information on the performance of government agencies

20,000 Institute for Women’s Policy Research, Washington, DC — general support for research and advocacy on issues affecting women at work and at home, and for efforts to ensure that women’s research is relevant to current policy debates

20,000 Citizens for a Better Environment, San Francisco, CA — second payment of a two-year $40,000 grant to support toxics and waste reduction work and the development of initiatives to enlarge the organization’s membership base

20,000 Maine Audubon Society, Falmouth, ME — a development grant to reorganize and expand the organization’s fund-raising capability

20,000 Task Force on Children Out of School, Boston, MA — funding for the Massachusetts Advocacy Center’s project on tracking and ability-grouping in the Boston public schools

Rockefeller Foundation

CONTACT
Lynda Mullen, Secretary
Rockefeller Foundation
1133 Avenue of the Americas
New York, New York 10036
(212) 869-8500

FINANCIAL SUMMARY
Recent Giving: $78,072,560 (1989); $64,465,444 (1988); $60,761,191 (1987)
Assets: $2,152,247,157 (1989); $1,845,470,488 (1988); $1,676,015,284 (1987)

CONTRIBUTIONS SUMMARY
Type of Foundation: institutional
Major Priority: international science-based development in agriculture, health, and population; equal opportunity; and arts and humanities
Geographic Distribution: international and national
Grant Types: conference/seminar, department, fellowship, multi-year/continuing support, project, research, and seed money

DONOR INFORMATION
The Rockefeller Foundation was established in 1913 by John Davison Rockefeller (1839-1937), founder of the Standard Oil Trust and the first billionaire in history. J.D. Rockefeller and his partners began their first refinery in 1863. By the early 1900s, Standard Oil Company controlled more than 80 percent of the country's refinery capacity. When the company was dissolved in 1911, Rockefeller became the major stockholder in several oil companies including the predecessors of Exxon, Chevron, Amoco, and Mobil. His son, John D. Rockefeller, Jr., was the primary recipient of most of his fortune. The foundation is now independent of the Rockefeller family; however, members of the family control the smaller Rockefeller Brothers Fund, established by John D. Rockefeller, Jr., and his children.
FOUNDATION PHILOSOPHY
The foundation was established "to promote the well-being of mankind throughout the world," and is dedicated to identifying and attacking the underlying causes of human suffering and need at their source. The foundation carries out its mission through grants and fellowships to individuals and institutions in three areas: International Science-Based Development (in agriculture, health, population sciences, and global environment); Arts and Humanities; and Equal Opportunity, International Security, and School Reform.

The objective of the International Science-Based Development program, established in 1986, is to help reduce poverty, disease, malnutrition, unwanted pregnancy, and illiteracy through science and technology. The focus of the Agricultural Sciences program is improvement of crops through biotechnology; improvement of food production systems in sub-Saharan Africa; and encouragement of collaborative agricultural research projects, priorities, and policies among national and international institutions worldwide.

The Health Sciences program concentrates on vaccinological and pharmacological research and the development of cost-effective ways to treat and prevent the most serious health problems in developing nations. The Population Sciences program concentrates on scientific research in reproductive biology; developing new contraceptives; evaluating how available contraceptive methods can be changed to improve their acceptance, safety, and effectiveness; and studying the connection between fertility and social factors, such as the status of women. The Global Environmental program seeks to assist developing countries to advance environmentally sound development in their own countries and participate fully in international responses to environmental challenges. The foundation's Special Programming grants support broader, interdisciplinary programs involving agriculture, health, and population. The foundation also offers fellowships in science-based development, general fellowships, social science research fellowships, biotechnology career fellowships, and African dissertation internships.

Arts and Humanities funding supports (through grants and fellowships) artists, scholars, teachers, and organizations whose work advances international and intercultural understanding. Of particular interest is the interchange of arts and knowledge between developing countries and the United States, and increasing opportunities for scholarship, teaching, and artistic expression among ethnic populations in this country.

The Equal Opportunity program, established in 1983, is dedicated to assuring the full place of minorities in American life, through improving the lives of the persistently poor in U.S. cities and assuring basic rights of all minority groups.

The new International Security program will support steps which can lead to regimes of control, verification, and peacekeeping in a world where 15-20 powers have access to nuclear, chemical, biological, or ballistic technology.

The School Reform program seeks to improve the school performance of at-risk students. Using the methods of Yale University's Dr. James P. Comer, the program will support projects which seek to stress the importance of a child's psychological preparation for school and emphasize the collaboration of school staff and parents.

Other interests and initiatives include the Bellagio Study and Conference Center in Italy (which is maintained by the foundation for conferences and residencies for artists and scholars), and activities which do not fall exactly within the preceding categories. Recent examples include the investigation of changing gender roles and trends in the developing world, programs to strengthen the nonprofit sector, internships for minorities in international development in Africa and the Caribbean, and leadership development in South Africa.

CONTRIBUTIONS ANALYSIS
In 1989, based on the foundation's designated areas of interest, Science-Based Development received about 53% of giving; Equal Opportunity, about 20%; Arts and Humanities, about 17%; Other Issues and Initiatives, about 7%; School Reform, about 2%; and International Security, about 1%.

TYPICAL RECIPIENTS
Arts & Humanities: arts associations, arts centers, arts festivals, arts institutes, cinema, community arts, dance, ethnic arts, literary arts, museums/galleries, music, performing arts, public broadcasting, and theater

Civic & Public Affairs: civil rights, economic development, economics, environmental affairs, ethnic/minority organizations, housing, international affairs, law & justice, nonprofit exchange, philanthropic organizations, public policy, rural affairs, urban & community affairs, and women's affairs

Education: agricultural education, arts education, colleges & universities, international exchange, literacy, medical education, minority education, science/technology education, and student aid

Health: health organizations, hospitals, medical research, medical training, pediatric health, public health, and single disease health associations

International: foreign educational institutions, international development/relief, international health care, and international organizations

Science: scientific institutes and scientific organizations

Social Services: family planning

OFFICERS AND DIRECTORS

Peter C. Goldmark, Jr.: prs, trust CURR EMPL sr vp: Times Mirror Co

